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Objective ADHD diagnosis using Convolutional Neural Networks over Daily-Life Activity Records

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Abstract—Attention Deficit/Hyperactivity Disorder (ADHD) is the most common neurodevelopmental disorder in children and adolescents. However, its etiology is still unknown, and this hinders the existence of reliable, fast and inexpensive standard diagnostic methods. Objective: This paper proposes an end-to-end methodology for automatic diagnosis of the combined type of ADHD. Methods: Diagnosis is based on the analysis of 24 hour-long activity records using Convolutional Neural Networks to classify spectrograms of activity windows. Results: We achieve up to 97.62% average sensitivity, 99.52% specificity and AUC values over 99%. Overall, our figures overcome those obtained by actigraphy-based methods reported in the literature as well as others based on more expensive (and not so convenient) acquisition methods. Conclusion: These results reinforce the idea that combining deep learning techniques together with actimetry, a robust and efficient system for the objective ADHD diagnosis is achievable. Significance: Reliance on simple activity measurements leads to an inexpensive and non-invasive objective diagnostic method, which can be easily implemented with daily devices.

Index Terms—ADHD, actigraphy, Deep Learning, Convolutional Neural Network (CNN)

I. INTRODUCTION

ATTENTION-deficit/hyperactivity disorder (ADHD) is one of the most common neurodevelopmental disorder in school age population [1]. In this cohort, its prevalence is about 7.2% [2] depending on the diagnostic criteria and the studied population (for instance, in Spain prevalence is 6.8% [3]). This diagnostic protocol is currently defined in the Diagnostic and Statistical Manual of Mental Disorders (DSM-5 [4]). However, even though the treatment of ADHD is well-defined for the known types —inattentive, hyperactive-impulsivity and combined— it’s not easy either to find a specific etiology or to define an objective diagnostic method.

ADHD is a disorder defined by a persistent pattern of inattention and/or hyperactivity-impulsivity, which is more frequent and severe than that usually observed in subjects of a similar level of development [4]. Deciding if this pattern actually constitutes an anomaly with respect to the normal development of a child is a task that falls entirely on the observations of parents and teachers as well as on the interpretation that specialists make out of these observations; actually results may differ whether parents, teachers or both are taken as source of information [5].

In light of the above, there is need of reliable and objective diagnostic procedures for clinical evaluation of ADHD. Over the years, some studies have tried to find associations between physical signals and the disorder. MRI (Magnetic resonance imaging) is frequently used for mental disorders; in the context of our problem, different studies used this technique to obtain images of the brain to detect differences between patients diagnosed with ADHD and control patients. The use of volumetric images of the brain [6] or the study of iron levels [7] are two examples of attempts to make diagnosis objective. In some studies on ADHD [8] functional magnetic resonance (fMRI) has also been used to study active areas of the brain in older people. Biomedical signals have also been used; differences between healthy and ADHD patients have been observed by means of EEG (electroencephalography) [9,10,11,12]. However, despite the efficacy of these methods, both their high costs as well as the very nature of the pathology question their
actual reliability as effective methods [13].

Some investigations support the hypothesis of the relationship between ADHD and sleep cycles and present this test as a possible objective diagnostic method for this pathology [14]. These studies have used Polysomnography (PSG) EEG, electrooculography (EOG), electromyography (EMG), electrocardiography (ECG), respiratory signals and pulsioximetry (POX) [15, 16]. Achigraphy measurements have also been reported as a useful method for the diagnostic of ADHD [17].

Nowadays, hardware advances, mainly with the use of GPUs and parallel calculations, have triggered a paradigm shift in scientific research, making it possible to create artificial intelligence systems that process and learn from vast amounts of data (i.e., the deep learning paradigm). In recent works, deep learning algorithms have been used for feature extraction in sleep patterns studies [18, 19, 20]. Some authors have used deep learning with the data obtained from accelerometers in order to find patterns in ADHD children [21].

The purpose of this paper is to design, train and deploy an highly accurate expert system based on a Convolutional Neural Network (CNN) that is able to diagnose combined ADHD out of the a 24-hour-long actigraphic record of a child in a regular school day. This is an affordable and non-invasive measure that does not interfere with the child’s daily life. As our forthcoming analysis of the state of the art reveals (see section II), most of the attempts to create expert systems are carried out in controlled experiments (including [21]) or, at least, describe targeted experiences with somewhat limited patient cohorts.

The paper is structured as follows; section II describes the main contributions in the field; they are schematically summarized in Tables I-III for quick reference. Section III describes the materials employed and the methodology applied. Results are shown in section IV, and are then discussed and compared with previous proposals in section V. Concluding remarks are summarized in section VI.

II. State of Art

Depending on the source of information, ADHD diagnosis could be subjective or objective. It typically has been diagnosed from parental and teacher reports as well as medical questionnaires, i.e., subjectively. Over the years, several studies have proposed different diagnosis methods.

As for the the methodologies of interest in this paper, attempts for objective diagnostic procedures can be classified according to:

• Non-actimetric methods: They propose the use of MRI and EEG for diagnosis. In the first case, several studies have achieved positive results in the classification of subtypes of ADHD. In the case of EEG, promising results have been obtained regarding the differentiation of patients. As previously stated, they are expensive methods and although interesting results have been reported, the nature of the pathology questions whether they are a cost-effective diagnostic option.

• Actimetric methods: Their main difference with respect to other methods is their lower cost and the fact that outcomes are independent of the observer. Described results show effectiveness since fairly good results are obtained.

Tables I-III present a summary of the most relevant —to the best of our knowledge— ADHD studies in the recent past (since 2011). Earlier contributions can be found in [17]. Table I focuses on non-actimetric studies whereas Tables II and III respectively focus on rhythmometry and measurements of activity. The tables have been structured in terms of Materials, Methods and Results & Conclusions in an attempt to make them self-contained. Results are those reported by the authors in the cited papers. In what follows, we focus on those studies that use different types of machine learning/deep learning algorithms.

The study presented by Riaz et al. [22] used a Support Vector Machine (SVM) to classify data obtained from the repository NeuroBureau ADHD-200 [35]. These data consist of a specific type of fMRI known as Resting State fMRI (rsfMRI or R-fMRI) that evaluates regional interactions occurring when an explicit task is not being performed. The achieved classification accuracy was 0.818. Oztoprak et al. [12] analyzed ERP (Event-related potentials) from EEG signals during a Stroop-type task to identify ADHD patients. They employed a SVM classifier with recursive feature elimination fed with high resolution time-frequency domain features. They achieved 100% accuracy using a test group of 10 subjects, whereas the overall accuracy over the train set was 0.995. Sun et al. [23] used radiomics for ADHD diagnosis using MRI. This method relies on the extraction of a large amount of features from medical imaging, to obtain useful information for diagnosis. The achieved accuracy was 0.737 using cross-validation with random forests.

Relevant publications using machine learning over actimetry can also be mentioned. The study by Muñoz et al. [21] used two accelerometers placed on the wrist and ankle respectively. Activity data along 6 school hours in a group of small children (22 patients, 11 ADHD and 11 healthy) was analysed using a CNN. The obtained accuracy from the wrist device was 0.8750, whereas the one from the ankle was 0.9375. Sensitivity values were 0.6 and 0.8, respectively. In [22], Mahony et al. used gyroscopes for motion characterization as well as accelerometers. Classification of the best performing features using SVMs, yielded 0.9512 accuracy, 0.9444 sensitivity, and 0.9565 specificity.

According to this analysis, our proposal can be grounded
on these three main ideas:

- Actimetry seems more convenient for the study of ADHD, since it guarantees an objective and non-intrusive diagnostic method, of lower cost and more comfortable for the patient.
- The methods based on actimetry in recent years are presenting results comparable to those presented in previous studies using MRI and EEG.
- Previous results obtained with actigraphy show good figures but cohorts seem somewhat limited and/or experiments require a controlled scenario.

### III. MATERIALS AND METHODS

#### A. Materials

Our subject group includes children with ages between 6 and 15 years who were monitored in regular daily activity for a period of approximately 24 hours. The group was composed of 148 subjects, of which 73 had been diagnosed as ADHD combined type according to the DSM-5 criteria. None of them had taken medication at the time of the study. The other 75 subjects are healthy subjects who make up the control group. Hereinafter, we will denote by *cases* the group of ADHD patients and by *controls* the group of healthy subjects. The study was carried out in accordance with the Declaration of Helsinki and was approved by the *Área de Salud de Palencia* Research Ethics Committee (REC number: 15/SS/0234).

#### B. Methods

We process the signals following the procedure shown in Fig. 1. Specifically, we adjust the number of samples up to a maximum of 24 hours per patient; taking into account that the actimeter worked at \( f_s = 1 \) Hz. The aggregate signal \( r = ||(x, y, z)|| = \sqrt{x^2 + y^2 + z^2} \) will be the measurement used as the input signal, i.e., a 1D signal is used per patient. The actual measurement is a count \( s \), as provided by the commercial device.

### TABLE I: State of the art on ADHD assessment based on non-actimetric sources. Results are those reported by the authors.

<table>
<thead>
<tr>
<th>Ref.</th>
<th>Materials:</th>
<th>Methods:</th>
<th>Results and Conclusion:</th>
</tr>
</thead>
<tbody>
<tr>
<td>[12]</td>
<td>Between 37 and 44 children in ADHD group and 32 and 38 children on healthy group.</td>
<td>ERP measurements in the EEG signals during the performance of a Stroop type task.</td>
<td>Accuracy = 0.995 (training set) Accuracy = 1 (10 test subjects)</td>
</tr>
<tr>
<td>[13]</td>
<td>9 normally developed children aged 6–11 years old registered during 6 days with actigraphy.</td>
<td>Hierarchical multivariate regression between actigraphy registry and Strength and Difficulties Questionnaire (SDQ) to evaluate the effect of sleep on SDQ.</td>
<td>Sleep accounts for 18% of the variance in conduct problems. Only the actual time of sleep is significant within the model ((p &lt; 0.05)). Children that sleep 1 hour less than the average, are in risk of behavioral troubles.</td>
</tr>
<tr>
<td>[14]</td>
<td>37 children affected of combined kind of ADHD were registered with actigraphy during 3 days.</td>
<td>Comparison between actigraphy measures and results of the ADHD–RS (ADHD Rating Scale), filled by parents during 6 months.</td>
<td>The peak time of the COSINOR method correlates with inattention symptoms; specifically ( \rho = 0.349 ), with significance ( p = 0.057 ).</td>
</tr>
<tr>
<td>[15]</td>
<td>83 paired children by sex and age diagnosed as non-treatment (40 with inattentive ADHD and 43 with combined ADHD) and 87 control subjects.</td>
<td>Anatomical MRI and diffusion tensor Images</td>
<td>No overall differences were found between the children with ADHD and the control subjects in the total brain volume or in the total volume of gray and white matter. Accuracy = 0.737 (discrimination of patients) and Accuracy = 0.801 (different subtypes)</td>
</tr>
<tr>
<td>[16]</td>
<td>10 adults diagnosed of ADHD (combined and intent subtypes) treated with Methylphenidate (MPD). 1st week without MFD, and 2nd, 3rd and 4th week with increasing dose of MPD.</td>
<td>Actigraphy registries were recorded for 4 weeks both at daytime and at night. Saliva melatonin was also measured every day. Patients also filled a ADHD–RS questionnaire. Authors do not specify what actigraphic variables are measured.</td>
<td>There is a correlation between MFD dose and melatonin level in saliva and results in ADHD–RS; however these results were not found for the actigraphic analysis. Author states that the use of different actigraphy variables justify the discrepancy between this word and literature.</td>
</tr>
</tbody>
</table>

**Medical Imaging**

**Biomedical Signals**

**TABLE II: State of the art on ADHD assessment through circadian activity rhythm. Results are those reported by the authors.**

<table>
<thead>
<tr>
<th>Ref.</th>
<th>Materials:</th>
<th>Methods:</th>
<th>Results and Conclusion:</th>
</tr>
</thead>
<tbody>
<tr>
<td>[21]</td>
<td>Neurobureau ADHD-200</td>
<td>Resting State MRI (rsfMRI + fMRI)</td>
<td>Accuracy = 0.938, Sensitivity = 1, Specificity = 0.75</td>
</tr>
<tr>
<td>[22]</td>
<td>83 paired children by sex and age diagnosed as non-treatment (40 with inattentive ADHD and 43 with combined ADHD) and 87 control subjects.</td>
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<tr>
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<td>37 children affected of combined ADHD were registered with actigraphy during 3 days.</td>
<td>Comparison between actigraphy measures and results of the ADHD–RS (ADHD Rating Scale), filled by parents during 6 months.</td>
<td>The peak time of the COSINOR method correlates with inattention symptoms; specifically ( \rho = 0.349 ), with significance ( p = 0.057 ).</td>
</tr>
</tbody>
</table>

**Rhytmometry**
and long-term activity (30 minutes). We have also used 66.67% window overlap.

1) Preprocessing: CNNs are especially efficient in the detection of patterns in images so 1D signals have to be appropriately conditioned to feed those networks. Specifically, the use of spectrograms as input data to a CNN has been shown to be effective for signals obtained through an actigraphic signal sensor [39]. We adhere to this approach, with the following specificities:

- Identification of low activity windows: Visual inspection of the signal record shows the presence of [in]activity periods in which the actimeter registers null or too small values; these low activity windows could bias the network or give rise to inefficient training. So,
discarding them is advisable. To this end, we studied the power distribution within the training data and set a threshold of minimum power per window. Windows below this threshold were set aside. The threshold has been empirically set to 0.022, and it is common for both day and night time as well as for short, medium and long term activity windows.

- **Generation of spectrograms:** We pursue to create spectrogram images that are visually smooth, have no discontinuities and allow for a correct visualization of the areas with frequency peaks [39]. In our particular case, we will have a unique CNN architecture for the three activity terms (as described in Section III-B2), which means that all the input images will have the same size regardless of the size of the activity term (short/medium/long) and period (day/night). Specifically, the spectrogram will consist of a 129 × 55 matrix. Table IV shows the parameter selection concerning the spectrogram calculation both in terms of window length and overlap for the three types of window splitting we deal with (these parameters are directly input to the spectrogram function in Matlab [40]).

<table>
<thead>
<tr>
<th>Window size</th>
<th>Window Spectrogram Size</th>
<th>Overlap size</th>
</tr>
</thead>
<tbody>
<tr>
<td>60</td>
<td>6</td>
<td>5</td>
</tr>
<tr>
<td>300</td>
<td>30</td>
<td>25</td>
</tr>
<tr>
<td>1800</td>
<td>180</td>
<td>150</td>
</tr>
</tbody>
</table>

TABLE IV: Window parameters selection in seconds (and, equivalently, samples) for the spectrogram image generation

2) **CNN Architecture:** The network used in the paper is depicted in figure [2]; it consists of 3 convolutional layers with 32, 64 and 128 filters respectively, all followed by an activation layer (ReLU) and a normalization layer (BatchNormalization); the network also contains two pooling layers, a fully-Connected layer and a softmax layer. This architecture was achieved after an empirical validation stage; we started with a single convolutional layer and then the network was trained and validated. The process was repeated with an increasing number of convolutional layers until no significant improvements were obtained. A similar methodology was followed to select both the number and the dimensionality of the filters, where an appropriate balance between training duration and performance was sought. With this methodology, we came up with a solution that has also been reported by other authors as their design choice [41, 42, 43, 44]. Random cross validation with ten different folds was used for training. Training was performed in two different ways (see Fig. [3]):

- Training for each activity term: We have trained separately with images corresponding to the periods of short, medium and long-term activity, as previously defined.
- Training according to activity period: we have independently trained the network to find patterns in the signals pertaining to night or daytime activity.

Consequently, we have a unique network architecture but we end up with 6 different parameter settings (see Figure [3]). In each case, 70% of the patients constitute the training set and the rest the Test Set. Experiments have been run in Matlab, with the default parameters for the weights and learning rate, and 75 epochs in each training.

3) **Final Classifier:** The network in Fig. [2] is a binary classifier (Case/Control) for each input image (activity window). However, we pursue the overall classification of each patient, the record of which consists of large number of such images (windows). The final hard decision is accomplished as follows:

- Select an activity term (short/medium/long). For this term, feed the network trained for day time activity with all the images that result from that period, following the procedure described in section III-B2. Proceed similarly with the night activity subset.
- Calculate the fraction of spectrograms classified as Control and Case in the daytime interval. Repeat this calculation for the night time interval.
- For each patient, these two figures are used as the input to a two-dimensional binary classifier. This is where the final hard decision is made. To this end, we use a support vector machine (SVM) classifier with radial basis function (RBF) [45].
- As for performance evaluation, we repeat the same process in the 10 folds used in the training and for the different sets of window sizes.

This pipeline is summarized in figure [4].

IV. **Results**

In this section we first show some illustrative results on spectrograms. Then, we show classification performance figures as well as scatter diagrams of the samples used and its associated labels. This second part is twofold; specifically, we first report results with a classifier built on a 70 – 30% train/test proportion. Then, and with the aim of checking classifier robustness, we repeat the experiments with a 20 – 80% train/test proportion.

A. **Spectrograms**

Following the parameter selection shown in table IV we give rise to the different images used for the CNN input. Figures [5][6][7] show an example of a short-term, medium-term, and long-term activity window, respectively.
B. Global Patient Classification

Table V shows the performance of the classification system in terms of the following figures of merit: 1) Upper part (from left to right) ⇒ accuracy, sensitivity, specificity, and area under the Receiver Operating Characteristic –ROC– curve (AUC); 2) Lower part (from left to right) ⇒ positive and negative predictive values (PPV and NPV) and likelihood ratios (LR+ and LR-).

To gain additional insight into the classification regions defined by the binary two-dimensional classifier, we show in figures 8 through 10 the scatter diagram along the 10 folds for the short through long activity terms. Labels attached to the samples indicate whether the classification was correct or incorrect. The number of misclassified patients is significantly low, especially when 300s windows are employed (see figure 9). Specificity in this case is particularly high, with only one false positive (control identified as ADHD). The number of false negatives is also low (only 5 non-diagnosed patients).

C. Classifier for small training set

When it comes to training a CNN, one of the factors to take into account is network robustness; a robust system should be able to find patterns in the training set even though it is smaller than the test set. To evaluate how the
 TABLE V: Results obtained for the global classifier (70 – 30%, train/test). Results show the mean and standard deviation (mean ± std) of the 10 folds described in Section III-B3. For LR+, as there is a significant number of folds with 100 % specificity (yielding infinite LR+), minimum values are presented as a worst case scenario.

For this train/test proportion we also show the corresponding scatter diagrams associated to the 10 folds (figures 11 through 13 for short through long terms, respectively). Clearly, the figures show a higher overlap degree with respect to the previous cases, but separability is also appraised out of the point cloud for the three terms.
### TABLE VI: Results obtained for the global classifier (20 – 80%, train/test).

<table>
<thead>
<tr>
<th>Window Size</th>
<th>Acc.</th>
<th>Sens.</th>
<th>Spec.</th>
<th>AUC</th>
</tr>
</thead>
<tbody>
<tr>
<td>1800 s</td>
<td>0.937 ± 0.0426</td>
<td>0.986 ± 0.0762</td>
<td>7.57</td>
<td>0.190 ± 0.1163</td>
</tr>
<tr>
<td>300 s</td>
<td>0.952 ± 0.0228</td>
<td>0.871 ± 0.0395</td>
<td>5.10</td>
<td>0.149 ± 0.0506</td>
</tr>
<tr>
<td>60 s</td>
<td>0.957 ± 0.0554</td>
<td>0.885 ± 0.0291</td>
<td>10.40</td>
<td>0.130 ± 0.0377</td>
</tr>
</tbody>
</table>

Results show the mean and standard deviation (mean ± std) of the 10 folds described in Section III-B. For LR+, as there is a significant number of folds with 100% specificity (yielding infinite LR+), minimum values are presented as a worst case scenario.

### FIG. 10: Scatter diagram for a 1800s window

### FIG. 11: Dispersion Diagram for a 60s window

### FIG. 12: Dispersion Diagram for a 300s window

### FIG. 13: Dispersion Diagram for a 1800s window

### V. DISCUSSION

Results in Section IV suggest that actigraphy is an useful tool for ADHD diagnosis. Specifically, table V reveals that the cascade of a CNN and a SVM classifier gives rise to high classification figures for this application domain, also providing strong evidence from a medical point of view [46]. Medium-term activity windows have provided better results than the other two, and this leads
us to interpret that medium term activities may be more versatile to find discriminative patterns for this problem. In addition, the results obtained using a training set of 20% of the whole dataset instead of 70%, reflects that the system is robust and efficient. In terms of accuracy we have reached figures on and above 0.90 for the 20% training case, as table VI shows; this loss of accuracy is approximately 8.59%, which does not seem excessive despite the small data fraction used for training; the values of LR+/LR- still provide high evidence on the suitability of the test.

Regarding the decision criterion, figures 8 and 13 provide interesting insight on how the classifier works; specifically, the boundary seems more directly related to the percentage value of day-time activity as opposed to that at night time. Clearly both activity percentages play a role, so a two dimensional classifier will work better than its one dimensional counterpart but, as indicated, day time activity seems a more discriminative dimension.

In Section II we have enumerated different studies that have faced the problem of ADHD diagnosis. Specifically, in [17] a classical pattern recognition approach was followed, obtaining 0.8571 accuracy, 0.9500 sensitivity, and 0.7727 a specificity. According to our results, we can state that the method here proposed has better figures, getting an accuracy, to sum up, equal to 0.9857. These figures are obtained, in addition, with a larger sample, so our results are better as well as more robust than those we reported a few years ago.

Muñoz et al. report in their study [21] the use of CNN with actigraphs; however, shorter exam times have been tried, namely, only 6 school hours have been analyzed. In the case of Mahony et al. [32] inertial measurement units (IMUs) with a SVM are used (details of both studies are summarized in table II). Comparing with our method, it should be noted that our study cohort is larger than the one used in both; in addition, our approach does not pose any restriction in children activity since our measures are taken in an average day with routine activity while in [32] the authors propose a laboratory experiment. Consequently, we have obtained comparable (or even higher) performance figures with no need of any sort of controlled environment and/or specifically designed experiment. We therefore avoid any bias caused by the experiment itself or, to say the least, biases are greatly diminished with our measurement procedure.

One of the main characteristics of using deep learning for any application and, in particular, for classification of spectrograms, is the implicit feature extraction and selection procure accomplished during the training stage; this has the direct consequence that the classifier is not limited to the features used as input by the designer—which are, in turn, selected after a somewhat tedious procedure [17]— but the classifier extracts and selects features on the fly, leading to an overall better performance since the mentioned limitation is avoided. The other side of the coin is the clinical interpretation of the activation of the network layers, which is not at all obvious. This is a path that should be explored in future works.

Regarding other data acquisition techniques, MRI and EEG have traditionally been used in the search for an objective diagnosis procedure of ADHD. Recent studies that merge the use of these techniques and SVM classifiers have obtained interesting results. These methods, however, are accompanied with a high complexity to obtain the data, not to mention their associated costs. Our approach, on the other side, obtained high performance figures with an affordable wristwatch. We therefore consider that our method is a competitive candidate that could be used complementarily to those methods, maybe as a useful and simple screening tool.

Despite the satisfactory results presented in this study, limitations in terms of the children study group must be taken into account. Our analysis has been done in a a somewhat heterogeneous children sample, with a large age span and with no gender splitting (this was also the case in [17]). This is the reason why we have provided three different methodologies for diagnosing ADHD (according to the activity term) as opposed to a single decision rule. While the three methods are strongly supported by evidence [46], future research may reveal whether any of the three is more appropriate for any of the subgroups that may result from making the sample more homogeneous in terms of specific pathology, age and gender.

VI. Conclusion

This work reinforces the idea that combining deep learning techniques together with actimetry makes it possible to create a robust and efficient system for the objective ADHD diagnosis. Our results show comparable or even higher performance figures (accuracy, sensitivity and specificity for a case/control study) than other traditional methods, even though these methods make use of targeted experiments in a controlled environment. Additional parameters frequently used in the evaluation of diagnostic methods show strong evidence of the appropriateness of our methods. As previously discussed, finding clinical meaning to the features identified by the network is a matter of utmost importance, which requires further research.

CONFLICT OF INTEREST STATEMENT

The authors declare no conflict of interest.

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