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A relative risk assessment of the open burning of WEEE

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ABSTRACT

Waste Electric and Electronic Equipment (WEEE) represents a potential secondary source of valuable materials, whose recovery is a growing business activity worldwide. In low-income countries recycling is carried out under poorly controlled conditions resulting in severe environmental pollution. High concentrations of both metallic and organic pollutants have been confirmed in air, soil, water and sediments in countries with informal recycling areas. The release of these contaminants into the environment presents a risk to the health of the exposed population that has been widely acknowledged, but still needs to be quantified. The aim of this work was to evaluate the relative risk from inhalation associated with the open burning of different kinds of WEEE. The Shrinking-Core Model was applied to estimate the concentration of the metals which would be released into the environment during the incineration of different types of WEEE. In addition the potential generation of dioxins during the same informal practice was estimated, based on the plastic content of the WEEE. The results provided for the first time a comparative analysis of the risk posed from the open burning of WEEE components, proposing a methodology to address the absolute risk assessment to workers from the informal recycling of WEEE.

Keywords: air pollutants, electronic waste, environmental risk assessment, informal recycling

1. Introduction

Waste Electric and Electronic Equipment (WEEE) is regarded as a potential source of valuable elements. Depending on the specific devices, a wide range of concentrations of both precious metals and rare earth elements (REEs) can be present in addition to the prevailing base metals, such as copper and aluminum (Hagelüken 2006; Cui and Zhang 2008; Das et al. 2009; Binnemans et al. 2013; Ghosh et al. 2015; Cucchiella et al. 2015) along with varying quantities of plastics and inert fillers. However, many of the WEEE components are hazardous based on the concentrations of potentially harmful materials, both inorganic and organic. When the waste is improperly managed, these substances can be either directly released or act as precursor for the generation of further toxic by-products, which can pose a severe risk for both human health and the environment (Sepúlveda et al. 2010; Tsydenova and Bengtsson 2011; Chan and Wong 2013).

In the European Union, as well as in most high-income countries, WEEE is managed within strict legislative framework, implementing the Extended Producer Responsibility (EPR) principle to promote separate collection, effective recycling as well as the development of eco-design of electric and electronic products. However, only one third of the WEEE produced is collected separately and destined for appropriate treatment. The remaining portion is likely to enter an informal management system (Tansel 2017). Some authors suggest that the difficult distinction between WEEE and UEEE (Used Electric and Electronic Equipment) accounts for the illegal transboundary movement of waste appliances (Zeng et al. 2013), mainly from high-income to developing countries. China has recently banned the import of 24 categories of waste, including post-consumer plastics and a range of hazardous residues. This decision has disrupted both the EU and US waste recycling industries which heavily rely on material export. It is widely anticipated that this will increase the environmental burdens associated with its management predominantly in the informal sector.

The informal recycling of WEEE refers to poorly regulated practices, which usually take place in either scattered workshops or domestic backyards in urban/suburban environments, with the main aim of recovering precious metals such as silver and gold (Tue et al. 2016; Ceballos and Dong 2016). To achieve this, devices are usually manually dismantled to separate the valuable components, reduced in size and then subjected to basic treatment to liberate valuable materials. Different treatment methods can be applied, but the most frequently reported are acid leaching and open burning (Sepúlveda et al. 2010; Wang and Xu 2014). As all of these processes are performed under uncontrolled conditions, they may result in environmental emissions that, in turn, can pose severe risks to human health of both operators and wider public in the vicinity.

1 The contamination of air, soil, water and sediments from informal WEEE recycling has
2 been more recently documented (Tue et al. 2016; Alcántara-Concepción et al. 2016): high
3 concentrations of both metallic and organic pollutants have been detected within the
4 informal working sites (Grant et al. 2013) as well as spreading to the surrounding areas
5 (Awasthi et al. 2016).

6 The release of contaminants in the environment can affect human health depending on
7 both the specific composition of the WEEE material and the type of recycling practice. The
8 former influences the mixture of contaminants that can enter the environment; the latter
9 determines the physical state of the contaminant, determining its pathway. Some of the
10 released contaminants are primary WEEE components (i.e. potentially toxic elements,
11 flame retardants, ozone-depleting substances), whereas others are secondary products
12 from combustion or released during chemical refining processes (Lundgren et al. 2012;
13 Cayumil et al. 2016).

14 Both occupational and environmental exposure of humans to the pollutants during the
15 informal treatment of WEEE have been described (Akormedi et al. 2013; Ohajinwa et al.
16 2017). According to these studies, the workers involved in the informal sector may be
17 subjected to particularly dangerous exposure conditions. As they usually live either close
18 to or within working sites, they may suffer additional exposure from the wider
19 environmental contamination that pervades domestic environments (Bakhiyi et al. 2018).

20 Several field studies have been carried out to identify the extent of this type of
21 contamination as well as to highlight the associated potential human burdens. The authors
22 recently reported the evaluation of a strategy to identify the relative potential harm of
23 different kinds of WEEE based on typical metal content and intrinsic hazard (Cesaro et al.
24 2018). It provided a semi-quantitative ranking of individual components, revealing
25 significant differences in potential harm posed by different electronic appliances. Whilst
26 this is of value in designing management strategies, the health risk of an exposed target
27 population has yet to be quantified.

28 The assessment of the risk as the probability that a specific contamination phenomenon
29 can produce the loss of human life (Zhang et al. 2010) is difficult. The lack of comparable
30 toxicity data for the contaminants potentially involved limits the absolute risk assessment.
31 Moreover, the comprehensive characterization of e-waste contaminants as well as that of
32 human exposure to alternative flame retardants still needs to be evaluated (Bakhiyi et al.
33 2018). These uncertainties directly affect the reliability of human health risk assessment
34 for the informal treatment scenarios; however, they may be overcome when using a
35 comparative risk characterization approach.

36 To investigate this potential, this study focuses on the assessment of the open burning of
37 three WEEE components: i) mobile Printed Circuit Boards (PCBs), ii) computer PCBs and

1 iii) cables. The material composition of these components was identified from published
2 data and the operating conditions for open burning were reviewed to identify the most
3 reliable exposure scenario to use in the relative risk assessment.
4
5

6 **2. The informal treatment of WEEE via open burning**

7 Informal WEEE recycling is practiced in open air as well as in small workshops (Iqbal et
8 al. 2015). The working environment is usually below an acceptable standard to provide
9 basic occupational safety and there are no proper sanitation conditions. Workers lack
10 proper ventilation and lighting facilities, and do not use adequate protective equipment,
11 such as face and nose masks (Imran et al. 2017).

12 Among the techniques adopted, open burning is used for different purposes, including
13 component separation, solder recovery from Printed Circuit Boards (PCBs), melting
14 plastic components before open dumping as well as copper recovery from electric cables
15 (Sepúlveda et al. 2010; Chan and Wong 2013). The latter, often performed in open pits
16 and at relatively low temperatures, is one of the most commonly reported crude recycling
17 practices (Perkins et al. 2014).

18 Open burning of WEEE has a direct environmental impact from the release of a number of
19 harmful substances into the atmosphere; the deposition of the contaminants on soil,
20 sediments or water accounts for the indirect impact (Alcántara-Concepción et al. 2016).
21 Residual ash washing into surface water results in additional water pollution. For example,
22 Suzuki et al. (2016) evaluated the level of dioxin-like compounds in surface soils and river
23 sediments collected in and around a WEEE processing village in northern Vietnam. Toxic
24 equivalents in soils collected from the open-burning area for wires and cables had a
25 median value of 13 pg/g for polychlorinated dibenzo-p-dioxins (PCDD) and 64 pg/g for
26 polychlorinated dibenzofurans (PCDF), 4.8 pg/g for coplanar polychlorinated biphenyls
27 (Co-PCBs) and 13 pg/g for polybrominated dibenzofurans (PCBDF). It is important to note
28 that the median toxic equivalents in soils collected from open-burning sites tended to be 1
29 to 2 orders of magnitude higher than the median values for soils collected at least 100 m
30 away, from footpaths in rice paddies in the same location (Suzuki et al. 2016).

31 The effects on human health arise either directly, during the recycling process or
32 indirectly, through the intake of contaminated water or via the contaminated food chain.
33 However, air is the most important vector for the transport of hazardous contaminants
34 during open burning of WEEE: Imran et al. (2017) observed that this practice produced
35 fumes so dense that a wide area of the recycling location is affected and both the informal
36 workers and residents living close to the site have difficulty in breathing.

1 Such circumstances raise particular concern, especially when considering the intense
2 working conditions experienced within the informal sector. In Pakistan, the informal
3 working population, which is composed of both children and adults, normally works every
4 day, for 10 to 12 hours (Imran et al. 2017). In India, the burning of Printed Wiring Boards
5 (PWBs) usually operates 6 days a week, for 9 to 10 hours a day (Steiner, 2004).

6 In Agbogbloshie (Ghana) the situation is equally extreme: the informal workers, often
7 children and adolescents, work for 10 to 12 hours per day (Wittsiepe et al., 2015), and
8 incessantly burn the wires and cables containing PVC (Fujimori et al. 2016). This results
9 in the immediate environment being overwhelmed by thick black smoke, which takes a
10 long time to clear (Asante et al. 2012; Itai et al. 2014; Wittsiepe et al. 2015).

11 In the informal WEEE recycling industry established in three Palestinian villages in south-
12 west Hebron, open burning is most commonly used to extract valuable copper from plastic
13 insulated wires (Davis and Garb 2015). In this area between 7 and 35 tons of cables were
14 reported to be burnt daily by “professional burners”, young men contracted by scrap yard
15 owners to incinerate their cables. Professional burners often involve teenagers to assist
16 them in burning the cables. Young people are not the sole vulnerable group involved: in
17 China, children and pregnant women also take part in the removal of the plastic coating
18 for wires (Song and Li 2014).

19 Being an informal activity, it is difficult to collect detailed information about the actual
20 working conditions in the sector. Information is dispersed and definitions are not
21 consistent, often referred to as “informal recycling of WEEE” or as “uncontrolled
22 incineration practices”. However, some key characteristics can be defined: i) the
23 involvement of young people as informal workers and ii) the long working period, ranging
24 between 9 to 12 h/day, every day. In addition, the adverse health effects from the open
25 burning of WEEE on people living in the surroundings of the informal workshops have
26 been frequently reported in the literature (Tsydenova and Bengtsoon 2011; Perkins et al.
27 2014).

30 **3. Materials and methods**

31 The approach proposed was to identify the relative potential of different types of WEEE in
32 producing adverse human health effects when undergoing open burning. To address this,
33 data on the material composition of different end-of-life electronic items (mobile Printed
34 Circuit Boards (PCBs), computer PCBs and wires) were obtained from scientific and
35 technical literature studies.

36 Although limited in number, the information dealing with the concentration of both metals
37 and plastic components for these items was subjected to an appropriate level of peer

1 review/quality assurance (Cesaro et al., 2018), to provide the most reliable data set for the
2 analysis.

3 4 **3.1. WEEE composition**

5 **3.1.1. Printed Circuit Boards from Personal Computers and mobile phones**

6 The Printed Circuit Board (PCB) is an ingenious design solution, which has enabled a
7 very dense array of electronic components (e.g. switches, capacitors, diodes, etc.) to
8 function in a highly limited space. PCBs provide mechanical support for the electronic
9 components and secure their electrical connection using conductive etched tracks. Based
10 on the number of layers of the conductive tracks, PCBs can be subdivided into three
11 major groups: single-, double- and multi-layered. With the addition of further conductive
12 layers, it is possible to populate the PCBs more densely with electronic components
13 (Yamane et al. 2011). However, for practical reasons in the recycling industry the
14 classification of PCBs is based on devices or group of devices from which the PCBs
15 originate, e.g. from Personal Computers (PCs), from mobile phones, from small
16 household appliances.

17 Along with this universal application in technology, PCBs have also highly complex
18 material composition. A single PCB can contain more than 40 different materials (Lu and
19 Xu 2016). Materials contained in the PCBs can be generally divided into three groups:
20 metals, plastics, non-metallic inorganic substances. The plastics and inorganic plastic
21 substances are generally identified in the scientific literature as a non-metal fraction
22 (NMF) and makes between 60 - 70 wt.% of the PCBs. Metal contained in the PCBs
23 ranges between 30 - 40 wt.% of the PCBs (Zheng et al. 2009; Veit et al. 2014). However,
24 unlike NMF fraction, which remains consistent across various types of PCBs, the metal
25 content is highly dependent on the function of the device. For example, the mass share of
26 the total metal fraction and the concentration of the most valuable metals, i.e. Cu and Au,
27 is significantly higher in the PCBs originating from mobile phones than in those from PCs.

28 There are several types of PCB substrate currently in use, but approx. 70% of all types of
29 PCBs have a FR-4 type of substrate. The FR-4 substrates, as classified by the National
30 Electrical Manufacturers Association (NEMA), are made of multiple layers of laminate
31 made of epoxy-reinforced resins. Furthermore, the FR-4 substrate is used where flame-
32 retardants are required. In general, the NMF of PCB consists of 65 wt.% of glass fibres,
33 32 wt.% epoxy resin, and <3 wt.% of impurities (Kumar et al. 2018).

34 Based on their economic value and their relative concentrations, the metals contained in
35 PCB can be segregated into base metals, trace and precious metals. The base metals
36 mainly include Cu, Fe, Al, Pb, Sn, Zn, Ni with concentrations range between 25-30 wt.%
37 (Cu) down to 0.5-1 wt.% (Ni or Zn). The trace and precious metals are present in

1 concentrations between 1 and 20,000 ppm. The concentrations and the presence of trace
2 and precious metals is significantly more volatile than that of base metals (Işıldar et al.
3 2016; Kaya 2016; Evangelopoulos et al. 2017).

4 Data on the material composition of PCBs from PCs and mobile phones are summarised
5 in Table 1 and Table 2, respectively.

7 **3.1.2. Cables and wiring**

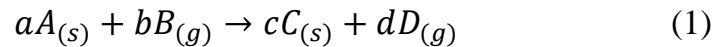
8 Rapid development and accessibility of the Electrical and Electronic Equipment (EEE) is
9 associated with the increased production of cables and wires. However, the recycling of
10 cables and wires, due to their varying size and diverse applications, is particularly
11 challenging. The structure of cables and wires is independent of their function: a
12 conductive metal core for transmission of electricity and data usually made of high purity
13 copper, an insulating layer, and a flame-retardant containing protection layer (Suresh et
14 al. 2017). An overview of material composition of several types of cables is provided in
15 Table 3.

17 **3.2. The Shrinking Core Model (SCM)**

18 For the purposes of the relative risk assessment, the concentration of metals emitted from
19 the open burning of WEEE was estimated by applying the Shrinking Core Model (SCM).
20 The data dealing with the concentration of metals in air, as reported in scientific literature,
21 are not specifically associated with the open burning practices, but a general reference to
22 informal recycling of WEEE. The proportion of different categories of WEEE destined to
23 this practice is not provided, so that linking the metal concentration in air to a fully
24 characterized WEEE category is not possible. Thermodynamic simulations have also
25 been performed (Dong et al. 2015; Yu et al. 2016), but the experimental conditions
26 adopted do not reflect the uncontrolled situation of open burning.

27 The SCM is widely used to describe fluid-solid reactions that result in the shrinkage of the
28 solid particles. It can apply to different areas, including pharmacokinetics, extractive
29 metallurgy, control of gaseous pollutants as well as catalyst regeneration (Gbor and Jia
30 2004; Fogler 2016). Further applications dealt with adsorption reactions: Fan et al. (2001)
31 used the SCM to describe the behavior of a fixed-bed reactor during the reaction between
32 gas-phase H₂S and perovskite-type sorbents; Jena et al. (2003) developed a SCM-based
33 mass transfer formulation for batch adsorption processes. In the field of combustion
34 reactions, the SCM is the standard theoretical framework (Sadhukhan et al. 2010;
35 Buckmaster and Jackson 2013; Zhao et al. 2015, 2018; Wang et al. 2016) and it was
36 used, in this work, to model the chemical reaction occurring during the open burning of
37 WEEE.

1 This can be regarded as a heterogeneous reaction in which a gas, namely the ambient
2 air, surrounds a solid particle and reacts with it. Such reactions are generally represented
3 as follows:



6 The heterogeneous reactions of solid particles surrounded by a gaseous film can be
7 described by the SCM, assuming that the reaction occurs first at the outer skin of the
8 particle. The reaction zone then moves into the solid, leaving behind completely converted
9 material and inert solid, referred to as ash, so that at any time there exists an unreacted
10 core of material which shrinks in size during the reaction (Levenspiel, 1999). In
11 accordance with the SCM, the reaction can be regarded as the succession of five steps
12 (Levenspiel, 1999):

- 14 1. Diffusion of the gaseous reactant through the film surrounding the particle to its solid
15 surface;
- 16 2. Penetration and diffusion of the gas through the blanket of ash to the surface of the
17 unreacted core;
- 18 3. Reaction of the gas with the solid;
- 19 4. Diffusion of the gaseous products through the ash back to the exterior surface of the
20 solid;
- 21 5. Diffusion of the gaseous products through the gas film back into the main body of the
22 fluid.

23 For the purposes of this work, the second step can be considered the rate-controlling one.
24 In a Gas/Solid system such as for combustion, the shrinkage of the unreacted core is
25 indeed much slower than the flow rate of the gas diffusing towards the unreacted core, so
26 that it is possible to consider the shrinking process as being stationary. In this hypothesis,
27 the gas flow within the ash layer can be expressed by the Fick's law, according to the
28 following expression:

$$29 \quad -\frac{1}{S_{ex}} \frac{dN}{dt} = D \frac{dc}{dr} = constant \quad (2)$$

31 where: S_{ex} is the unchanging exterior surface of the solid particle;

32 N is the number of moles of the gaseous reactant;

33 D_e is the effective diffusion coefficient of the gaseous reactant in the ash layer,
34 evaluated considering the porosity and the tortuosity of the solid material;

35 C is the concentration of gaseous reactant computed at standard conditions
36 (298.15K and 101 kPa).
37

1
2 Therefore, assuming that the solid particles involved in the reaction have a spherical
3 shape, the conversion process develops as described by the equation (2), meaning that
4 the rate of reaction at any instant is given by its rate of diffusion to the reaction surface.
5 Considering that the mass (m) of a spherical particle is related to the density of its
6 composing material (ρ) by the following expression:

$$7 \quad m = \frac{4}{3}\pi r^3 \rho \quad (3)$$

9
10 the equation (1) can be also written as follows:

$$11 \quad \left(-\frac{dN}{dt}\right) * \frac{dm}{m^{\frac{4}{3}}} = \mathcal{D}_e * 16\pi^2 \rho \left(\frac{3}{4\pi\rho}\right)^{\frac{4}{3}} * dc \quad (4)$$

13
14 The solution to this equation is given by the following expression:

$$15 \quad \left(-\frac{dN}{dt}\right) * \left(\frac{1}{m^{\frac{1}{3}}} - \frac{1}{M^{\frac{1}{3}}}\right) = \mathcal{D}_e * \frac{16}{3}\pi^2 \rho \left(\frac{3}{4\pi\rho}\right)^{\frac{4}{3}} * c_{ag} \quad (5)$$

17
18 where : m is the mass of the solid particle;

19 M is the initial mass of the solid particle;

20 c_{ag} is the bulk concentration of gaseous reactant evaluated.

21
22 In order to describe the heterogeneous reaction more realistically, it should be considered
23 that, as the solid particle core shrinks, the ash layer becomes thicker, slowing the rate of
24 diffusion of the gas. According to the stoichiometry of a generic chemical reaction:

$$25 \quad (-dN_a) = \frac{a}{b}(-dN_b) = -\frac{a}{b} \frac{dm}{MW} \quad (6)$$

27
28 where: a and b are the stoichiometric coefficients of the reactants;

29 M_w is the molecular weight of the solid reactant.

30
31 Considering this equivalence, equation (5) is solved using the following expression:

$$32 \quad M^{\frac{2}{3}} \left\{ \left[\left(1 - \left(\frac{m}{M}\right)\right) \right] - 1,5 \cdot \left[1 - \left(\frac{m}{M}\right)^{\frac{2}{3}} \right] \right\} = -7.795 * \frac{Mw * b * \mathcal{D}_e * c_{ag}}{a * \rho^{\frac{1}{3}}} * t \quad (7)$$

1 In order to reduce the complexity of the mathematical model, an operating temperature of
2 550°C (823.15K) was chosen based on previous studies (Gullett et al. 2007; Zhang et al.
3 2015) and the mass (mg) of ash produced after 1 hour combustion of 1 t of WEEE
4 components was obtained for the selected metals, to allow an estimate of the
5 corresponding concentration in air (shown in Table 4).

7 **3.3. The relative risk assessment**

8 It was possible to estimate the concentration of metals released from the open burning of
9 different types of WEEE, assuming a working time of 10 hours.

10 For organic pollutants, the emitted concentrations in air were estimated on the basis of
11 estimates previously reported in scientific literature (Gullett et al. 2007; Moltó et al. 2011;
12 Zhang et al. 2015).

13 The emitted concentration of the i-th contaminant was used to estimate the exposure
14 concentration (EC_i), as described in the following equation:

$$15 \quad EC_i = \frac{C_i \cdot ET \cdot EF \cdot ED}{AT} \quad (8)$$

16 where: C_i is the emitted concentration of the i-th contaminant [mg/m^3];

17 ET is the exposure time [h/d];

18 EF is the exposure frequency [d/year];

19 ED is the exposure duration [years];

20 AT is the average time of exposure in a lifetime.

21 The non-cancer risk from the inhalation of the i-th contaminant, namely the hazard index
22 (HI_i), was calculated as follows:

$$23 \quad HI_i = \frac{EC_i}{RfC} \quad (9)$$

24 where: RfC is the inhalation Reference Concentration of the i-th contaminant [mg/m^3].

25 The RfC values, defined as an estimate of a concentration under continuous exposure
26 for individuals that does not present any risk of deleterious effects during a lifetime,
27 were selected from international databases. For inorganic compounds, these values
28 refer to the elemental metal or, if not available, to a metal compound that is likely to be
29 produced during open burning, as highlighted in Table 5.

30 For each WEEE component, the total hazard index (HI) was obtained as the sum of the
31 inhalation hazard index estimated for the single contaminants.
32
33
34
35
36

1 The comparative analysis of the HI of the selected WEEE components was referred to
2 a normalized HI (ΔpHI), which was calculated as the ratio between the HI of the single
3 component and the minor HI.
4

6 **4. Results and discussion**

7 The relative risk assessment for the open burning of computer PCBs, mobile phone
8 PCBs and cables is based on the comparison of the potential Hazard Index (Table 6),
9 calculated for an exposure scenario defined by the literature review.

10 The pHI indicates the potential hazard posed by the uncontrolled incineration of a
11 selected WEEE component. For the hazard to be acceptable, the pHI should be lower
12 than 1: this would indicate that each contaminant is emitted in air at a concentration
13 that is below the threshold limit represented by the corresponding Reference
14 Concentration for inhalation.

15 As anticipated, this index is significantly higher than 1 for the WEEE components
16 considered and is predominantly driven by the presence of the chlorine-containing
17 plastics, which is expected to generate concentrations of 2,3,7,8-Tetrachlorodibenzo-p-
18 dioxin (2,3,7,8-TCDD) in air ranging between 0.03 and 0.3 $\mu\text{g}/\text{m}^3$. These values, which
19 are consistent with field studies at informal WEEE processing sites (Li et al. 2007;
20 Wong et al. 2007; Tsydenova and Bengtsson 2011), are much lower than those
21 estimated for inorganic pollutants. However, as 2,3,7,8-TCDD is a highly toxic
22 compound, its Reference Concentration for inhalation can be up to approximately 10
23 times lower than those for the inorganic compounds.

24 It is worth pointing out that the $pHI_{2,3,7,8\text{-TCDD}}$ for cables is one order of magnitude higher
25 than that of the same organic compound from the open burning of both mobile and
26 computer PCBs. This outcome depends, in turn, on the expected concentration in air of
27 this pollutant. Gullett et al. (2007) characterized both air emission and residual ash
28 produced during the simulated open burning of both circuit boards and insulated wires.
29 The emissions from the latter were exceptionally high, even higher than the ones
30 reported in previous studies. The authors attributed this result to the high concentration
31 of chlorine-containing PVC insulation on the wires as well as by other factors related to
32 the incomplete combustion. In contrast to the thermal treatment performed in
33 industrialized facilities, open burning develops under uncontrolled conditions of
34 temperature and oxygen supply, which do not promote complete combustion reactions
35 and, in turn, results in the production of undesired pollutants in the exhaust gases.
36 Combustion temperature, in particular, plays a key role in the formation of dioxins from
37 the incineration of waste materials. Shibamoto et al. (2007) pointed out that dioxin

1 formation occurs at temperatures above 450°C and decreases significantly at
2 temperatures above 850 °C, which are not likely to be reached in the proposed open
3 burning scenario.

4 In the case of inorganic components, the results of the Shrinking Core Model highlight
5 copper to have the highest concentration in air (540.26 and 1021.65 µg/m³ for
6 computer and mobile PCBs, respectively), followed by lead (82.76 and 43.18 µg/m³ for
7 computer and mobile PCBs, respectively) and aluminum (29.78 and 18.98 µg/m³ for
8 computer and mobile PCBs, respectively). The differences are related to the initial
9 mass of metals in each WEEE component, but the estimated values are consistent with
10 those reported from field studies (Deng et al. 2006; Wong et al. 2007; Tsydenova and
11 Bengtsson 2011), providing independent verification of the model predictions.

12 In the previously reported case studies, the values measured were lower, probably due
13 to the location where the sampling operations were performed. Some data relate to air
14 samples collected on the roof of a 3-story building located on a street where open
15 burning was performed together with other kinds of informal recycling practices (Deng
16 et al. 2006; Wong et al. 2007); another study considers a sampling station situated on a
17 building (Li et al. 2007). In these cases, the air samples were not taken in direct contact
18 with the source of gaseous emission or even close to occupational exposure
19 conditions.

20 However, the potential hazard associated to the single inorganic contaminant depends
21 on its specific chemical species, its concentration in air as well as on its toxicity. In this
22 view, it is possible to observe that the potential Hazard Index for copper, although
23 exceeding the threshold limit value for the hazard to be acceptable, is in the same
24 order of magnitude, ranging between 1.6 and 3.5. Similar comparisons can be made
25 for aluminum, whereas nickel greatly contributes to the overall potential hazard of the
26 considered WEEE component, due to its higher toxicity.

27 The potential Hazard Index calculation does not provide an absolute assessment of the
28 risks for human health. A number of uncertainties affects the characterization of the
29 contamination source, namely the air mass intercepted during the pollutant release
30 from the WEEE incineration process. One of the main issues is related to the
31 composition of the WEEE mass destined for open burning. The WEEE flows ending in
32 the informal sector may contain a wide range of discharged appliances and it is not
33 possible to know the share of each WEEE component from the total amount of
34 electronic waste sent for uncontrolled management. This circumstance limits the
35 definition of WEEE samples representative of actual conditions in simulated open
36 burning, which, in turn, provides unreliable assessment if it is intended to quantify the
37 risks to human health. For this purpose, field measurement could be more effective but,

1 in this case, the detected concentration of target pollutants in air cannot be directly
2 associated with the presence of a specific WEEE component in the waste mass being
3 incinerated, limiting the possible identification of prioritization strategies in the informal
4 management of WEEE.

5 However, the comparative analysis can provide a relative risk factor for the incineration
6 process by reference to the potential Hazard Index. The Δ pHI represents the risk to
7 human health associated with the open burning of selected WEEE components. It
8 builds on previous work evaluating material balance (Cesaro et al. 2018) and refining it
9 to accommodate management practices towards a viable model for risk assessment of
10 open burning. This would provide direct support for decision making when handling
11 different WEEE streams.

12 The main findings of this study are that the potential risk for human health during the
13 open burning of cables is much higher than that of computer PCBs, which is in turn
14 higher than that for mobile phone PCBs.

15 The informal recycling of cables via uncontrolled incineration should therefore be
16 prioritized when setting up strategies to improve the sustainability of WEEE processing.
17 From a health risk analysis, it is not the presence of metals but the plastic components,
18 especially those containing chlorine, which can act as precursor of organic compounds
19 much more toxic than the inorganic ones. This confirms the urgent need for further
20 studies to characterize, by both their chemical and toxicological properties, new
21 persistent compounds that are being used as alternatives to conventional flame
22 retardants and/or plasticizers as well as emerging dioxin-related compounds which
23 have recently been detected in the soil from around incineration sites in Ghana (Tue et
24 al. 2016). Similarly, novel brominated flame-retardants have been identified in food
25 samples grown near informal waste processing sites at higher levels than those
26 obtained for samples in control sites in China (Labunska et al. 2015). These data, in
27 turn, point to the need for better characterization of WEEE material composition.

28 The availability of data for the comprehensive characterization of the gaseous emission
29 from the open burning of WEEE would greatly improve the effectiveness of using a
30 risk-based procedure to prioritize improvements in waste management activity.
31 Widening this approach to other types of WEEE as well as to other uncontrolled
32 practices would support the integration of the informal recycling sector within the formal
33 waste management sector and improve the sustainability of WEEE management in
34 low-income regions. It is therefore important to recognize the need to frame the
35 outcomes of a risk-based procedure within the socio-economic conditions of different
36 areas as well as to integrate them with the interests of all relevant parties highlighted
37 by Stewart and Hursthouse (2018). This would indeed provide a path to consensus and

1 help to ensure the sustainability of any adopted WEEE management strategy to reduce
2 the burdens on both human and environmental health.

5. Conclusions

6 The open burning of WEEE is an informal recycling practice, widely applied in
7 numerous low-income regions. Although the generation of metallic dusts and dioxins as
8 well as their release in open air have been discussed in the literature, an approach to
9 quantify the risks from the inhalation of these pollutants, especially by the informal
10 workers, has yet to be proposed. This study identified and evaluated a comparative
11 assessment of the potential risk associated to the open burning of different types of
12 WEEE components. The relative risk assessment results show that there is
13 considerable variation in risk from different components which should drive strategies
14 to improve waste management and public health in affected regions.

15 A number of uncertainties have been identified, so that further research is needed to
16 improve the potential of this method in driving field studies to develop absolute risk
17 assessment as well as in raising awareness of the actual burdens on human health
18 from the open burning of WEEE.

19 The modeling of this type of risk-based approach accommodating country-specific
20 conditions as well as the integration of its outcomes with the needs of the different
21 stakeholders holds the key to developing appropriate technical solutions.

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Table 1. Selected elemental composition of PCBs from PCs

Base Metals [%]							Trace and precious Metals [ppm]										Ref.
Cu	Fe	Al	Pb	Sn	Zn	Ni	Ba	Bi	Cr	Co	Ba	Sr	Ta	Pd	Au	Ag	
10.0	N/A	7.0	1.2	N/A	1.6	0.9	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	300	100	Zhang and Forssberg, 1997
26.0	16.0	10.5	7.7	N/A	1.5	2.4	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	15020	Williams, 2010
20.2	7.3	5.7	5.5	8.8	4.5	0.4	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	1300	1600	Yamane et al., 2011
20.0	1.3	1.8	2.3	1.8	0.3	N/A	1900	50	N/A	48	11	380	7	150	240	570	Oguchi et al., 2011
33.0	1.8	1.5	1.3	3.5	0.5	N/A	19000	440	N/A	280	140	430	2600	300	1500	3800	Oguchi et al., 2011
19.2	1.1	4.0	0.4	0.7	0.8	0.2	0.36	N/A	0.12	N/A	N/A	N/A	N/A	27	130	704	Behnamfard et al., 2013
18.5	2.1	1.3	2.7	4.9	N/A	0.4	N/A	N/A	N/A	N/A	N/A	N/A	N/A	97	86	694	Yazici and Deveci, 2013
20.0	1.9	4.0	2.3	3.5	1.2	0.4	1900	240	0.12	164	75.5	405	1303.5	123.5	270	704	Median value

Table 2. Elemental composition of PCBs from mobile phones

Base Metals [%]							Precious Metals [ppm]		Reference
Cu	Fe	Al	Pb	Sn	Zn	Ni	Au	Ag	
32.3	0.5	1.8	0.3	*	0.1	0.7	30	4120	Williams, 2010
35.1	*	*	2.7	4.0	*	*	1200	*	Kim et al., 2011
39.6	1.4	0.3	1.2	2.1	3.4	3.4	600	600	Kasper et al., 2011
38.3	6.5	1.0	1.3	3.1	1.0	1.7	1000	600	Kasper et al., 2011
37.8	4.9	0.6	1.2	2.6	1.8	2.5	900	500	Kasper et al., 2011
39.9	*	*	*	*	0.5	0.4	1	1	Jing-ying et al., 2012
24.2	0.2	3.3	0.9	1.4	0.1	0.3	600	1000	Ortuño et al., 2013
24.2	0.2	3.3	0.9	1.4	0.1	0.3	600	600	Median value

Table 3. An overview of material composition of several types of Cu-core cables (Hischier et al., 2007)

Cable Type	Component	Material	[g]	wt%
Computer power cable - 1 conducting wire <i>(without plugs, 10 cm length of cable)</i>	Conductor material	Cu	1.29	19.88%
	Insulation	TPE elastomer	1.95	30.05%
	Black jacket	PVC	3.25	50.08%
Network cable - 8 conducting wires <i>(without plugs, 10 cm length of cable)</i>	Conductor material	Cu	5	43.84%
	Insulation	PVC	5	43.84%
	Foil around insulation layer	PE	0.1	0.88%
	Shielding braid	Cu	1.2	10.52%
	Soft jacket	PVC	0.105	0.92%
Printer cable - 25 conducting wires <i>(without plugs, 10 cm length of cable)</i>	Conductor material	Cu	4.6	47.17%
	Insulation	PVC	4,6	47.17%
	Fine copper wire to absorb noise	Cu	0.12	1.23%
	Fine aluminum layer	Al	0.09	0.92%
	Soft jacket	PVC	0.341	3.50%
Ribbon cable - 20 conducting wires <i>(with plugs, 1 kg of cable)</i>	Conductor material	Cu	155	15.50%
	Cable jacket	PVC	155	15.50%
	Plugs (both ends)	HDPE	687	68.70%
	Contacts	brass	3	0.30%

Table 4. Mass of metallic ash and corresponding air concentrations from 1 hour open burning of WEEE

Metal	Concentration [$\mu\text{g}/\text{m}^3$]		
	Computer PCB	Mobile phone-PCB	Wires and cables
Copper (Cu)	540.26	1021.65	1194.22
Lead (Pb)	82.76	43.18	-
Chromium (Cr)	0.15	-	-
Zinc (Zn)	22.05	13.46	-
Nickel (Ni)	5.43	14.91	-
Aluminum (Al)	29.71	18.98	-
Cobalt (Co)	0.24	-	-
Barium (Ba)	6.16	-	-
Strontium (Sr)	0.57	-	-

Table 5. Reference Concentrations for Inhalation of the contaminants of interest

Contaminant	RfC [$\mu\text{g}/\text{m}^3$]	Reference
Copper (Cu)	140	ISS, 2015*
Lead (Pb)	0.2	US-EPA, 2017
Chromium (Cr)	0.1	US-EPA, 2017
Zinc (Zn)	1050	ISS, 2015*
Nickel (Ni)	0.014	US-EPA, 2017
Aluminum (Al)	5	US-EPA, 2017
Cobalt (Co)	0.006	ISS, 2015*
Barium (Ba)	0.5	US-EPA, 2017
Strontium (Sr)	0.2	US-EPA, 2017
2,3,7,8-TCDD	0.00004	US-EPA, 2017
* Obtained from the data provided for oral exposure by the US-EPA - Region 9 (2015). Environmental Protection Agency, Toxicity and chemical/physical properties for Regional Screening level (RSL) of Chemical Contaminants at Superfund Sites (http://www.epa.gov/region9/superfund/prg/)		

Table 6. Relative potential Hazard Index (pHI) for the combustion of selected WEEE

Contaminant	pHI		
	PC PCB	Mobile PCB	Wires
Copper (Cu)	1.6	3.0	3.5
Lead (Pb)	170.0	88.7	-
Chromium (Cr)	0.6	-	-
Zinc (Zn)	0.0	0.0	-
Nickel (Ni)	159.5	437.7	-
Aluminum (Al)	2.4	1.6	-
Cobalt (Co)	16.4	-	-
Barium (Ba)	5.1	-	-
Strontium (Sr)	1.2	-	-
2,3,7,8-TCDD	616.4	308.2	3082.2
<i>pHI_{TOT}</i>	973.3	839.2	3085.7
<i>ΔpHI_{TOT}</i>	1.2	1.0	3.7