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1 **Inclusive green innovation environment evaluation index: A Study of the logistics com-**  
2 **panies in Fujian Province**

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9 **Abstract**

10 In order to enhance the effectiveness of inclusive green innovation environment evaluation of  
11 enterprises from the perspective of strategic management, this paper aims at the inclusive green  
12 innovation environment evaluation problems of enterprises under the ecological environmental  
13 protection regulations to put forward an inclusive green innovation environment evaluation  
14 index system based on multi-layer clustering algorithm. In addition, it designs the multi-layer  
15 clustering improvement mode based on the multi-layer clustering algorithm. Finally, it verifies  
16 the effectiveness of algorithm through simulation experiment.

17 **Keywords:***Ecological environment, Low carbon operation, Performance evaluation,*  
18 *Hierarchical clustering*

19 **Introduction**

20 The low carbon operation effect refers to the innovation achievement degree generated in the  
21 low carbon operation activities. The comprehensive analysis on low carbon operation effect  
22 refers to the quantitative calculation and analysis of single content or single index based on  
23 their correlation level which reflect the low carbon operation situation and achievement. Its  
24 purpose is evaluate and judge the low carbon operation situation and result of enterprises  
25 through comprehensive analysis thus to enhance the cognition depth and analysis quality.

26 The low carbon operation effect evaluation system is a complex system, it is needed to establish  
27 an index system for describing the system essence and rule clearly to control it to realize the  
28 preset goal. One index can only reflect one certain attribute of the low carbon operation effect  
29 evaluation system, therefore, it is needed to process the main indexes to form a new numerical  
30 value thus to comprehensively evaluate the low carbon operation effect of enterprises. In

31 addition, the following principles should be obeyed in establishing the evaluation index system:

32 1) Scientificity, namely the listed indexes should reflect the low carbon operation effect, avoid  
33 listing indexes reflecting no low carbon operation effect and adverse effect in enhancing low  
34 carbon operation effect. 2) Fairness and reasonableness, namely the evaluation indexes should  
35 reflect the low carbon operation effect fairly and reasonably to be helpful for enterprises to  
36 make comparison and stimulate the enthusiasm of enterprises in enhancing low carbon opera-  
37 tion effect. 3) Operability, namely the indexes are easy for collecting, their correctness and  
38 reliability can be guaranteed, the number of indexes should not be too many; in addition, the  
39 indexes should not be too complex for calculation. 4) Good guidance, namely the index system  
40 should be helpful to guide the low carbon operation work of enterprises to enhance the low  
41 carbon operation effect and avoid the ineffective low carbon operation behavior.

42 Based on the above principles, this paper adopts the production innovation rate, business suc-  
43 cess rate of innovative products, sales rate of innovative products, number of innovative tech-  
44 nologies and innovation frequency of product as the evaluation factors for low carbon opera-  
45 tion, establishes the evaluation index of low carbon operation effect and its single-factor  
46 evaluation matrix, besides, bases on the fuzzy clustering method of self-organized neural net-  
47 work model to conduct evaluation on low carbon operation effect.

## 48 **METHODS**

49 The author thinks the green innovation capability of low carbon operation enterprise refers to  
50 the capability of enterprise to make technology, management, service, marketing and environ-  
51 mental protection to be continuous and institutional in green innovation for its long-term sus-  
52 tainable development and continuously realizing its economic benefit, environmental benefit  
53 and social benefit.

### 54 **Establishing the green innovation capability evaluation index system for low carbon op-** 55 **eration of enterprise**

56 In accordance with the industrial feature of low carbon operation enterprise and its real situa-  
57 tion under the ecological environment protection regulations, its green innovation capability

58 can be divided into three relevant levels (as is shown in Figure 1), wherein, these three levels  
59 interact with each other and constitute the main structure of green innovation capability of low  
60 carbon operation enterprise.

61 In accordance with the connotation understanding of green innovation capability of low carbon  
62 operation enterprise and the availability of empirical data, referring to the continuous green  
63 innovation capability index system designed by related scholars and combined with the conti-  
64 nuity, institutional nature, systematicness and greenness of continuous green innovation capa-  
65 bility, the author conducts evaluation and research from six aspects including green innovation  
66 input capability, green innovation management capability, green innovation service capability,  
67 green innovation marketing capability, green innovation awareness of entrepreneurs under the  
68 ecological environment protection regulations and green innovation environmental protection  
69 capability. Meanwhile, the low carbon operation enterprise is a multi-link and multi-layer com-  
70 plex system, based on considering the index diversity and avoiding strong linear relation be-  
71 tween the internal indexes of subsystem, the author establishes the green innovation capability  
72 evaluation index system of low carbon operation enterprise.

73 The author refers to and collects related materials and information of low carbon operation  
74 enterprise, absorbs and refers to the excellent parts of green innovation capability and sustain-  
75 able development evaluation index of experts and scholars under the ecological environment  
76 protection regulations, adopts the frequency statistics method and expert consulting method to  
77 firstly select and determine over 50 evaluation indexes and eliminate 21 indexes with insuffi-  
78 cient feasibility and correctness, and then conducts analysis on the main components and inde-  
79 pendence nature of indexes to eliminate 19 indexes, finally retains 12 evaluation indexes and  
80 determine the green innovation capability evaluation index system of low carbon operation  
81 enterprise after adjustment.

## 82 **Two -stage clustering detection**

83 In the density clustering process, here adopt the simplified Euclidean distance calculation  
84 mode:

$$85 \quad r(i, j) = \sqrt{(K_{1i} - K_{1j})^2 + \dots + (K_{mi} - K_{mj})^2} \quad (1)$$

86 In the formula (1),  $K$  is the spacial projection value of  $m$ . Adopt the density clustering to con-  
87 duct the hidden operation performance defining detection process, namely, base on the data  
88 point and its neighbourhood  $\varepsilon$  for cluster merging, its feature is: base on adjusting the neigh-  
89 bourhood parameter  $\varepsilon$  to achieve the refined decomposing clustering effect of high-density  
90 area, therefore, it is suitable to select the algorithm to be the defined clustering algorithm.  
91 Firstly, take any hidden operation performance of rough clustering hierarchy as the clustering  
92 center, make similar operation performance search in the given neighbourhood  $\varepsilon$ , and the sim-  
93 ilar operation performance number is  $p$ .

94 Under the ecological environment, the density defining detection process of hidden operation  
95 performance is composed of two parts: density clustering and hidden operation performance  
96 detection. Provided that the gravity set of density clustering is  $R = \{R_j\}$ , the vector set of pending  
97 clustering is  $\{S_i\}$ . The initial clustering status is  $R = \emptyset$ , and then the gravity of the vector set  
98  $\{S_i\}$  of pending clustering is  $R_j$ ,  $F[S_i] = R_j$ , and the number of hidden operation performance  
99 sample is  $k_j$  in the  $\{S_i\}$  corresponding to the gravity  $R_j$ , the initial status  $k_j = 0$ , and the density  
100 defining clustering process can be described as follows:

101 Step 1: abstract the operation performance sample  $S_i$  non-corresponding to the gravity  $R_i$  from  
102 the hidden operation performance source data  $\{S_i\}$ , if the gravity set  $R$  exists with another  
103 gravity point  $R_j$ , and  $S_i \in N(R_j)$ , and then implement the step 2; otherwise, take  $S_i$  as the new  
104 gravity point,  $R = R + S_i$ ,  $R_{ju} = S_{iu}$ ,  $u = 1, \dots, U$ , and implement the step 3.

105 Wherein,  $R_{ju} = S_{iu}$ ,  $u = 1, \dots, U$  present that if  $S_i$  belongs to no types, take it as the new gravity  
106 point.

107 Step 2: take the gravity point of hidden operation performance  $S_i$  as  $F[S_i]=R_j$ , and update the  
 108 gravity number as  $k_j=k_j+1$ .

109 Step 3: if all samples in the hidden operation performance set correspond to one central point,  
 110 implement the step 4, otherwise, return to step 1, and continue implement the iterative cluster-  
 111 ing process.

112 Step 4: update the gravity attribute of hidden operation performance set:

$$113 \quad R_{jk} = \sum_A S_{ik} / k_j \quad (2)$$

114 In the formula,  $k=1, \dots, K$ ,  $A=\{S_i | F[S_i]=R_j\}$ . If the above process doesn't meet the preset itera-  
 115 tion times  $c$ , reset the relationship between the hidden operation performance sample set and  
 116 the gravity set,  $F[S_i]=0$ , and reset the gravity number  $k_j=0$ , implement the step 1, and repeat  
 117 scanning.

118 Step 5: In accordance with the number  $k_j$  of hidden operation performance, conduct effective  
 119 judgement on the gravity, if  $k_j \geq P$ , it is effective, otherwise, the gravity is ineffective, for the  
 120 hidden operation performance relevant with ineffective gravity, the algorithm will repeatedly  
 121 assign effective gravity relevant with it.

$$122 \quad F[S_i]=R_j \Rightarrow S_i \in N_\varepsilon(R_j) \Rightarrow \text{dist}(S_i, R_j) \leq \varepsilon \quad (3)$$

123 The parameters involved in the algorithm are as follows: distance threshold  $\varepsilon$ , neighbourhood  
 124 point lower limit  $P$ , preset scanning times  $c$ , target attribute number  $U$ . The process of detec-  
 125 tion algorithm is:

126 Step 1: provided that the hidden operation performance includes  $m$  attributes and  $n$  sample sets,  
 127 and conduct normalization processing on all attributes, the calculation process is:

$$128 \quad x(i, j) = \frac{x^*(i, j) - x_{\min}(i, j)}{x_{\max}(i, j) - x_{\min}(i, j)} \quad (4)$$

129 In the formula (4),  $x_{\max}(i, j)$  and  $x_{\min}(i, j)$  respectively represent the upper limit and lower limit of  
 130 the  $j$ th hidden operation performance attributes,  $x(i, j)$  represents the  $j$ th attribute of  $i$ th individ-  
 131 ual,  $x^*(i, j)$  represents the non-normalized measured value.

132 Step 2: Provided that  $m$ -dimensional projection vector is  $a$ , wherein, the linear projection fea-  
 133 ture values of  $a = a_1, \dots, a_m$ ,  $x_{ij}$  are as follows:

$$134 \quad z_i = \sum_{j=1}^m A \times x_{ij}, i = 1, 2, \dots, n \quad (5)$$

135 In the formula (5),  $A$  represents unit matrix and  $z_i$  represents projection value.

136 Step 3: implement the above density clustering in each dimensional space, delete the nonexist-  
 137 ent clustering attributes and update the attribute set  $P = \{p_1, p_2, \dots, p_k\}$ ,  $\exists p \in P$ . Implement the  
 138 above clustering operation on each dimension, if there exists with no clustering, there will exist  
 139 with no hidden operation performance, end and exit from the algorithm. On the contrary, if  
 140 there exists with  $l > 1$  clustering, there will exist with hidden operation performance.

141 Step 4: If there exist with clustering in each components  $a_1, \dots, a_m$  of projection vector  $a$ , there  
 142 will exist with clustering, and then implement the density clustering process, if there exists  
 143 with  $l' > 1$  clustering, there will exist with hidden operation performance.

144 The principle of the algorithm detection process: firstly, base on the hierarchical agglomerative  
 145 clustering algorithm to realize the rough clustering of hidden operation performance, and then  
 146 lock on the hierarchy of target and implement the density clustering process. In this process,  
 147 project the high-dimensional data to judge whether there exists with multiple clustering based  
 148 on single attribute thus to judge whether there exists with hidden operation performance, and  
 149 then combine the attributes with single clustering to establish the multi-dimensional space and  
 150 repeatedly implement the above judgement on the multi-dimensional space, therefore, the high-  
 151 dimensional data can be expandable in the above algorithm.

152 **Experiment analysis**

153 In consideration of the insufficient feasibility to obtain large number of empirical research  
154 samples, this paper mainly centers on Fujian in the region selection of sample enterprises in  
155 order to reduce the model error and increase the correctness of empirical result. Therefore, this  
156 paper conducts empirical analysis by taking low carbon operation enterprises in Fujian as ex-  
157 amples, the statistical data are mainly from Fujian Statistical Yearbook(2019) and materials  
158 related to logistics industry, meanwhile, it adopts the questionnaire and field visit to collect  
159 partial data and information, and finally select 45 low carbon operation enterprises as samples,  
160 wherein, select 30 enterprises randomly to be the learning samples and select 15 to be the de-  
161 tection samples. In the training process, this paper classify the sample data by aid of MATLAB  
162 tool and conduct fitting on data samples.

163 The experiment result in Figure 2 shows that in the forward-backward compressed clustering  
164 network model training and testing processes of green innovation capability evaluation of en-  
165 terprises, the fitting degrees of the model in actual output and expected output are very approx-  
166 imate, which can realize the recognition efficiency of over 95% of sample data and can meet  
167 the precision requirement of green innovation evaluation of logistics enterprises under the eco-  
168 logical environment protection regulations.

169 The main purpose of training the forward-backward compressed clustering network model of  
170 green innovation capability evaluation of enterprises under the ecological environment protec-  
171 tion regulations is to guarantee the model has good input sample generalization performance.  
172 Namely, it is not only needed to evaluate the fitting performance of samples but also the ap-  
173 proximation performance of samples. The main reason is that even if the training error is small  
174 in each group of samples, it does not mean the approximation performance of the model is  
175 good, because there exists with the fitting phenomenon, namely the MSE index of the model  
176 can not fully reflect the performance of the model. It is also needed to verify the training effec-  
177 tiveness of forward-backward compressed clustering network model of green innovation capa-  
178 bility evaluation of enterprises under the ecological environment protection regulations. Based



179 on the 30 groups of test data for verification and calculate to get the error of mean square of  
 180 the model to be  $3.8652 \times 10^{-5}$ , which meets the requirement of error termination based on the  
 181 minimum network training. The experiment shows that the sample error is mostly distributed  
 182 between the interval of  $[0.001, 0.01]$ , and the cross-verification process is passed. Wherein, the  
 183 verification results of 15 groups test samples is as shown in table 1.

#### 184 **Conclusion**

185 This paper aims at the inclusive green innovation environment evaluation problems of enter-  
 186 prises to put forward an inclusive green innovation environment evaluation index system based  
 187 on forward-backward compressed clustering filtering algorithm under the ecological environ-  
 188 ment protection regulations, conducts evaluation and research from six aspects including green  
 189 innovation input capability, green innovation management capability, green innovation service  
 190 capability, green innovation marketing capability, green innovation awareness of entrepreneurs  
 191 under the ecological environment protection regulations and green innovation environmental  
 192 protection capability, and puts forward a forward-backward compressed clustering filtering al-  
 193 gorithm to effectively solve the noise and uncertainty problems existed in green innovation  
 194 evaluation collection of enterprises and realize effective estimation on the green innovation  
 195 evaluation equilibrium model under the ecological environment protection regulations.

#### 196 **LITERATURE CITED**

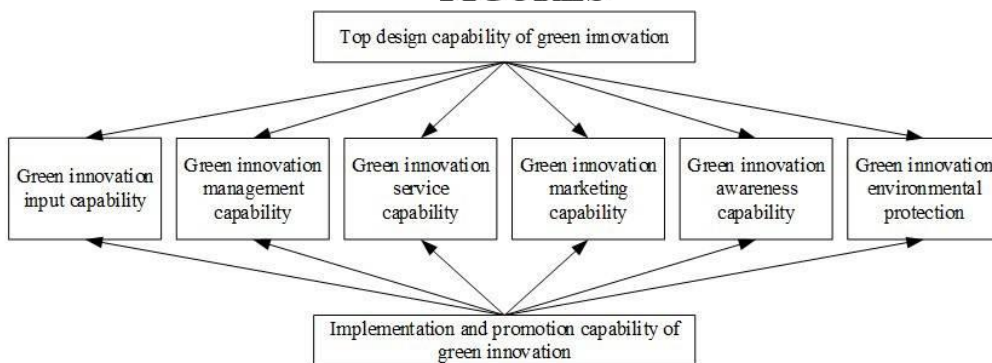
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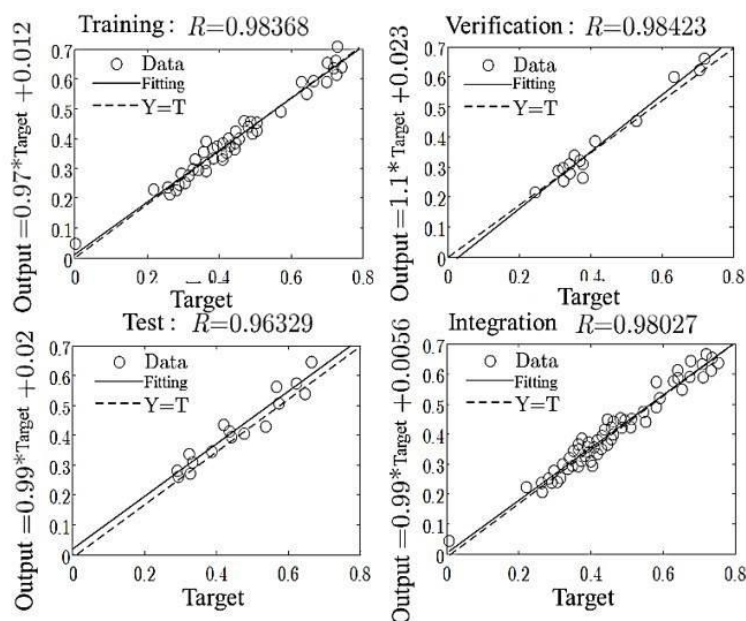
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## FIGURES

**FIGURES**



247  
248 **Fig. 1. Green innovation capability structure model of low carbon operation enterprise**



249 **Fig.2. Network approximation information**

Serial number	Actual value	Expected value	Absolute value
1	0.6012	0.6078	0.0086
2	0.3112	0.3120	0.0018
3	0.4152	0.4127	0.0035
4	0.4880	0.4958	0.0068
5	0.3951	0.4136	0.0175
6	0.3072	0.3086	0.0024
7	0.6627	0.6711	0.0074
8	0.2535	0.2520	0.0025
9	0.3021	0.2946	0.0045
10	0.3554	0.3516	0.0048
11	0.3757	0.3711	0.0056
12	0.3711	0.3680	0.0021
13	0.5044	0.5062	0.0028
14	0.3158	0.3045	0.0123
15	0.5973	0.6125	0.0142

250 **Table1 Effectiveness verification data**