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van Noort, Carolijn; Colley, Thomas

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How do strategic narratives shape policy adoption? Responses to China's Belt and Road Initiative

Abstract:

Strategic narratives are increasingly considered important for domestic and international support for foreign policy. However, debate continues about why some strategic narratives successfully shape policy outcomes, while others are rejected. How states construct strategic narratives is well established. We know less about how states appropriate the strategic narratives of others, and the role this plays in policy adoption.

Addressing this, we introduce a theoretical framework to trace the relationship between strategic narratives and policy adoption. Its central premise is that a state is more likely to adopt a new policy if it can strategically narrate about it in a way that promises material gain but without undermining its ontological security.

We test our framework using states' responses to China's Belt and Road Initiative (BRI). Examining the Second BRI Forum in 2019, we trace how far China's strategic narratives are appropriated by multiple states – Kazakhstan, Italy, United Kingdom, Netherlands, United States, India and Mexico. Countries' appropriate China's narrative emphasis on connectivity, trade and prosperity. However, they contest that China's intentions are benign, based on its human rights record, assertive foreign policy, and fears of indebtedness. Finally, we discuss our framework's utility in explaining what makes strategic narratives persuasive in International Relations.

Keywords: China, communication, constructivism, strategic narrative, narrative, strategy, Belt and Road, ontological security

Authors

Dr Carolijn van Noort* *Corresponding author*

School of Education and Social Sciences, University of the West of Scotland, London, E14 2BE.
carolijn.vannoort@uws.ac.uk. Orcid: 0000-0001-8459-8860

Dr Carolijn van Noort is a Lecturer in Politics and Public Policy at the University of the West of Scotland. Her research focuses on the international political communication of rising powers, particularly in relation to identity, international order and infrastructure. Some of her articles have appeared in *Cambridge Review of International Affairs*, *Global Society*, and *Politics and Governance*. Her book *Infrastructure Communication in International Relations* is due to be published by Routledge in 2021.

Dr Thomas Colley *Co-author*

Royal Military Academy, Sandhurst, Haig Road, Camberley, GU154PQ.
Orcid: 0000-0002-7649-2131

Dr Thomas Colley is a Senior Lecturer in Defence and International Affairs at Royal Military Academy, Sandhurst and a Visiting Research Fellow in War Studies at King's College London. His research examines propaganda, strategic communication and their use in war and international politics.

1. Introduction

In the twenty-first century, the communication of persuasive 'strategic narratives' has received growing attention as a way to elicit domestic and international support for foreign policy. This interest emerged out of constructivist and poststructuralist IR theories, which emphasised that international actors employ different modes of discourse to frame their policies, roles and identities.¹ Specific focus on narratives reflected two assumptions. First, that storytelling is the most natural and persuasive form of communication, and second, that it is through narratives that individuals and collectives construct their identities.² Accordingly, many now consider the strategic use of narratives integral to achieving 'buy-in' for foreign policy – strategic narratives being a 'means for political actors to construct a shared meaning of the past, present, and future of international politics to shape the behaviour of domestic and international actors'.³

For enthusiasts, the 'power of narrative' makes strategic narratives the decisive element in political outcomes.⁴ Numerous International Relations (IR) scholars are more cautious, explaining that direct strategic narrative persuasion is rare and that actors are heavily constrained by dominant discourses in what they can credibly say.⁵ Alternatively, as per realism, they see material interests and relative power calculations as the primary factor explaining foreign policy choice, with strategic narratives only of secondary importance as a way of justifying those decisions.⁶

¹ Janice Bially-Mattern, *Ordering International Politics: Identity, Crisis and Representational Force*, (New York: Routledge, 2005); Lene Hansen, *Security as Practice: Discourse Analysis and the Bosnian War* (New York: Routledge, 2006); Alister Miskimmon, Ben O'Loughlin, and Laura Roselle, *Strategic Narratives: Communication Power and the New World Order* (New York: Routledge, 2013).

² Geoffrey Roberts, 'History, Theory and the Narrative Turn in IR', *Review of International Studies* 32: 4 (2006): pp. 703-714.

³ Miskimmon et al., *Strategic Narratives*, p. 2.

⁴ Kendall Haven, *Story Proof: The Science Behind the Startling Power of Story* (Westport, Conn.: Libraries Unlimited, 2007); Emile Simpson, *War from the Ground up: Twenty-First Century Combat as Politics* (London: Hurst & Co., 2012).

⁵ Alister Miskimmon, Ben O'Loughlin, and Laura Roselle, *Forging the World: Strategic Narratives and International Relations* (Ann Arbor, Michigan: University of Michigan Press, 2017).

⁶ Ibid.

Meanwhile, debate continues about why some strategic narratives successfully shape policy outcomes, while others do not.⁷ Most strategic narrative theories are better at explaining continuity than change, because they assume that persuasion occurs through content ‘resonating’ with what audiences already believe.⁸ We know less about strategic narratives’ role in policy change, such as when a state chooses to affiliate with another state’s political project for the first time.⁹ Relatively little research has traced how one actor’s strategic narrative is appropriated by another, and how this process relates to policy adoption choices. A country’s decision to affiliate with China’s Belt and Road Initiative (BRI) is one example.

This paper makes an original contribution by providing a theoretical framework to explain the role of strategic narratives in policy change. We focus especially on strategic narratives states project when they adopt the policy initiative of another. The central contention underpinning our ‘strategic narrative buy-in framework’ is that a state is more likely to accept another state’s policy initiative if the former can project a strategic narrative aligning two elements: material interests and ontological security concerns. If a convincing narrative cannot be constructed explaining that policy affiliation will bring material benefit without undermining ‘who we are’, affiliation is less likely. Alternatively, if possible, they may choose more limited involvement.

The article provides a significant empirical contribution by tracing how a wide range of countries respond to China’s BRI strategic narratives surrounding the Second Belt and Road Forum in April, 2019. By April 2019, ‘China ha[d] signed 173 cooperation documents on BRI with 125 countries and 29

⁷ Alister Miskimmon, and Ben O’Loughlin, ‘Narratives of the EU in Israel/Palestine: Narrative “Stickiness” and the Formation of Expectations’, *European Security* 28: 3 (2019): pp. 1–16.

⁸ Linus Hagström, and Karl Gustafsson, ‘Narrative Power: How Storytelling Shapes East Asian International Politics’, *Cambridge Review of International Affairs* 32: 4 (2019): pp. 387–406.

⁹ Jelena Subotić, ‘Narrative, Ontological Security, and Foreign Policy Change’, *Foreign Policy Analysis* 12: 4 (2015): 610-627.

international organizations'.¹⁰ Such extensive affiliation suggests that plenty find China's strategic narrative convincing. Nevertheless, the BRI is contested. Some fear debt traps, land-grabbing and colonisation; others that China is attempting to forge a Sino-centric world order.¹¹ With these concerns undermining the BRI 'brand', China sought to use the Second BRF to project a more refined strategic narrative based on 'open, green and clean cooperation'.¹² We illustrate how states respond to this in a wide range of cases - Kazakhstan, Italy, United Kingdom, Netherlands, United States, India and Mexico – varying from comprehensive acceptance to outright rejection.

States must decide how to respond to the BRI and how to legitimize this to target audiences. These audiences are domestic and international because it is likely that one will be communicating with both simultaneously – especially in the Digital Age.¹³ Also, reflecting Putnam's idea of diplomacy being a 'two level game', policy shifts may need to be explained in a way that simultaneously reassures international allies and convinces domestic populations.¹⁴ In both cases, our contention is that states will affiliate more fully with the BRI if they can project strategic narratives which anticipate future material benefits without undermining their ontological security.

¹⁰ *Bloomberg News*, 'This is Where Xi's Belt and Road Initiative Stands After Six Years', (24 April 2019), available at: <https://www.bloomberg.com/news/articles/2019-04-24/belt-and-road-by-the-numbers-where-xi-s-project-stands-now>. Accessed 20 June 2019.

¹¹ Kevin Yao, 'China seeks to Allay Fears over Belt and Road Debt Risks', *Reuters* (25 April 2019), available at: <https://uk.reuters.com/article/uk-china-silkroad/china-seeks-to-allay-fears-over-belt-and-road-debt-risks-idUKKCN1S1069>. Accessed 8 May 2019; Department of Defense United States of America, 'Assessment on U.S. Defense Implications of China's Expanding Global Access', (December 2018), available at: <https://media.defense.gov/2019/Jan/14/2002079292/-1/-1/1/EXPANDING-GLOBAL-ACCESS-REPORT-FINAL.PDF>, p. 3.

¹² Ministry of Foreign Affairs of the People's Republic of China, 'Working Together to Deliver a Brighter Future for Belt and Road Cooperation', (26 April 2019), available at: <http://govt.chinadaily.com.cn/a/201904/26/WS5cc5663c498e079e6801f3c0.html>. Accessed 4 September 2019.

¹³ Miskimmon et al., *Strategic Narratives*.

¹⁴ Robert Putnam, 'Diplomacy and Domestic Politics: The Logic of Two-Level Games'. *International Organization* 42: 3 (1988): pp. 427–460.

The paper first establishes the theoretical relationship between strategic narrative, ontological security and policy change. It then explains the importance of states projecting strategic narratives that reconcile material and ontological security concerns. Following Hansen and Waever, we see material interests and socially constructed ideas as discursively constituted in the narratives states use to explain policy choices.¹⁵ They are both part of the story – or at least should be, if states want to provide acceptable justifications for policy choices.

Our approach differs from discourse analytic approaches to foreign policy in focusing specifically on narratives - a mode of discourse which concerns how events play out over time.¹⁶ This temporal dimension, we argue, is integral to narratives about policy, since they concern what has happened to date, and how policy change now will achieve a better future.¹⁷ In material terms, the narrative would typically explain how the policy enhances future security and prosperity. Strategic narratives justifying policy on ontological security grounds situate policy temporally with reference to who we are, who we have been, and how we can maintain a stable sense of self over time.¹⁸ Examining these in combination advances the strategic narrative literature, which has not formally theorised or traced how material and ontological security concerns are combined when states appropriate the strategic narratives of others.

We employ qualitative narrative analysis, tracing the narrative-specific components of plot, actor and setting within policy speeches, official documents and media coverage surrounding the Second Belt and Road Forum (BRF) in April 25-28, 2019 in Beijing, China. This provides a more up-to-date perspective on states' evolving appropriation or contestation of China's BRI strategic narratives. We

¹⁵ Lene Hansen, and Ole Waever, eds., *European Integration and National Identity: The challenge of the Nordic states* (London: Routledge, 2002).

¹⁶ Miskimmon et al., *Strategic Narratives*.

¹⁷ Michael Jones, Elizabeth Shanahan, and Mark McBeth, eds. *The Science of Stories: Applications of the Narrative Policy Framework in Public Policy Analysis* (New York: Palgrave Macmillan, 2014).

¹⁸ Subotić, 'Narrative'.

conclude by discussing the strategic narrative buy-in framework's broader utility, and what our findings reveal about what makes strategic narratives persuasive in International Relations.

2. Strategic narrative, ontological security and policy adoption

A narrative is a temporally and causally connected sequence of events, selected and evaluated as meaningful for a particular audience.¹⁹ A strategic narrative is a narrative deliberately constructed to achieve political objectives.²⁰ Following Miskimmon et al., we conceptualise strategic narrative communication as a cyclical process of formation, projection and reception.²¹ Extensive research has examined strategic narrative formation, and hybrid media scholars have provided nuanced accounts of strategic narrative projection.²² We know less about reception.²³ Some researchers have completed one cycle – starting with a state's strategic narrative formation, then examining how it is projected and received.²⁴ Few examine the next cycle – theorising or tracing how one state's strategic narrative is received by a target state, and how this comes to be appropriated in their subsequent strategic narratives.²⁵ Examining this, our study advances strategic narrative research.

Figure 1: Strategic Narrative Communication Process

(Reproduced with permission from Miskimmon et al. 2012)

¹⁹ Catherine Kohler Riessman, *Narrative Methods for the Human Sciences*, (London: SAGE, 2008).

²⁰ Miskimmon et al., *Strategic Narratives*.

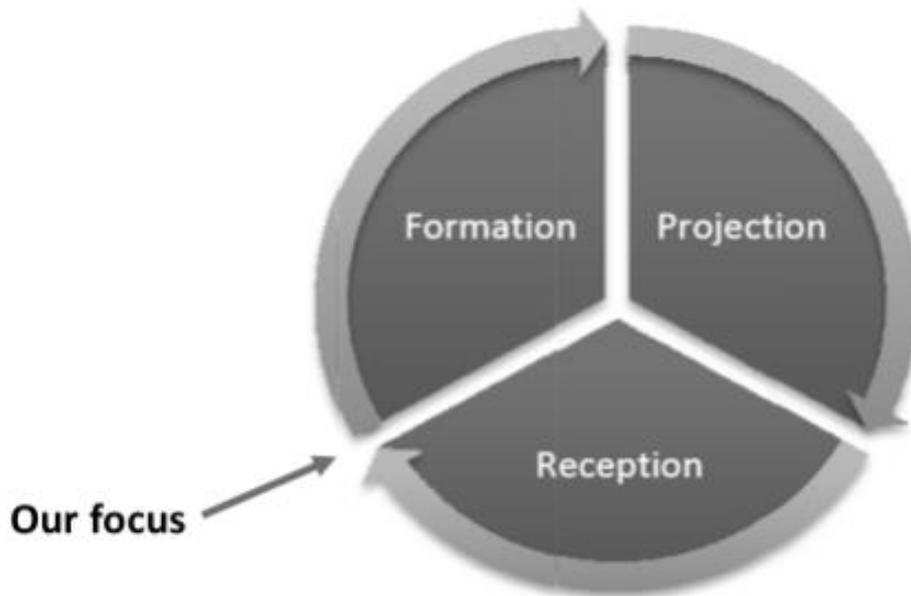
²¹ Ibid.

²² Miskimmon et al., *Strategic Narratives*.

²³ Olivier Schmitt. 'When Are Strategic Narratives Effective? The Shaping of Political Discourse through the Interaction between Political Myths and Strategic Narratives'. *Contemporary Security Policy* 39: 4 (2018): pp. 487–511.

²⁴ Thomas Colley, 'What's in It for Us'. *The RUSI Journal* 160: 4 (2015): pp. 60–69.

²⁵ Carolijn van Noort, *Infrastructure Communication in International Relations*, (New York: Routledge, 2021).



Our focus on whether states adopt the strategic narrative of others as they justify foreign policies sits within ongoing debates about what makes some strategic narratives more persuasive. Two notable bodies of theory contest what makes narratives uniquely persuasive. The first explains that narratives are a unique mode of communication, but actually their theories of persuasion are applicable to any discourse. Ringsmose and Børghesen argue that persuasive strategic narratives communicate clarity of purpose, consistency, present the prospect of success, and face few counternarratives.²⁶ Drawing on Aristotle, others have argued that persuasive strategic narratives require *logos* (rational appeal), *pathos* (emotional appeal) – and *ethos* (being narrated by a credible speaker).²⁷

These elements are important to strategic narratives' persuasiveness, but they are not narrative specific. Contrastingly, a second theoretical approach focuses more on the structural constituents of narrative text such as actors, setting and plot – assuming that greater coherence in these makes

²⁶ Jens Ringsmose, and Berit Kaja Børghesen, 'Shaping Public Attitudes towards the Deployment of Military Power: NATO, Afghanistan and the Use of Strategic Narratives', *European Security* 20: 4 (2011): pp. 505–528.

²⁷ Simpson, *War*.

narratives more persuasive.²⁸ They assess how actors or story characters are evaluated, how the stage on which they act is described and how the events they participate in are selected and causally linked.

These theories share the assumption that strategic narratives persuade if they ‘resonate’ with the existing understandings of target audiences.²⁹ Often explained using ‘layering’ metaphors, these theories argue that strategic narratives persuade by being congruent with the ‘deeper’ or ‘overarching’ discursive layers, comprising the ‘master narratives’ or ‘metanarratives’ or ‘myths’ audiences already use to understand the world.³⁰ These myths – the preferred term for our purposes – are deeply embedded storylines which shape what is seen as common sense in a particular culture.³¹

Both myths and strategic narratives are stories about how events play out over time. The difference is that myths are semi-permanent within a given political community, only evolving steadily over time.³² A strategic narrative is constructed in a given moment to achieve a political objective. Drawing on myth is one way to make a strategic narrative more persuasive. For a state self-identifying as a humanitarian actor, a reliable ally, or a military hero, strategic narratives that appeal to these will theoretically be more persuasive, for they reflect an intuitive sense of ‘who we are’ as a people. Moreover, each time a strategic narrative invokes a given myth, it reinforces it over time, to the point where it can become accepted as a social fact.³³ Thus, strategic narratives can be used in the moment to persuade others, but over time they can constitute national identities.³⁴ Repeated narration makes myths harder to challenge, which is why strategic narratives which ‘resonate’ with these myths are thought to be more persuasive.

²⁸ Jones et al., *The Science*.

²⁹ Freedman, ‘The Transformation’.

³⁰ Margaret Somers, ‘The Narrative Constitution of Identity: A Relational and Network Approach’, *Theory and Society* 23: 5 (1994): pp. 605–649.

³¹ Ibid.

³² Schmitt. ‘When Are’.

³³ Jelena Subotić, ‘Stories States Tell: Identity, Narrative, and Human Rights in the Balkans’, *Slavic Review* 72: 2 (2013): pp. 306–26.

³⁴ Miskimmon et al., *Strategic Narratives*.

Synthesising these elements, a compelling strategic narrative advocating a given policy will in theory be more persuasive if it combines rational, emotional and moral appeal. These are necessary, but not sufficient, however. To be persuasive *as a narrative*, a strategic narrative must also appeal to the deeply held stories target audiences tell about who they are.

Ontological security and policy change

The assumption that strategic narratives must appeal to 'rational' material incentives but also reflect the myths that constitute collective identities reflects similar assumptions to ontological security theories in IR. Ontological security theorists assert that international behaviour reflects not just the desire for physical security, but also the continual desire for ontological security – to maintain a consistent sense of self over time or 'security of being'.³⁵ Neither physical nor ontological security necessarily precedes the other.³⁶ Without a stable sense of self over time, states are thought unable to exert agency effectively, since it provides a guide to how one should act in a changing world.³⁷

Strategic narratives are important to ontological security because ontological security is 'narratively constituted'. That is, ontological security is established and maintained through the repeated narration of stories about who one is – as an individual or a collective.³⁸ Through selective narration, states articulate 'national biographies' – stories explaining their past, present and future selves.³⁹ These narratives, as with any other, contain actors, setting and plot. The state is typically the

³⁵ Jennifer Mitzen, 'Ontological Security in World Politics: State Identity and the Security Dilemma', *European Journal of International Relations* 12:3 (2006): pp. 341–70; Brent Steele, *Ontological Security in International Relations: Self-Identity and the IR State* (Abingdon: Routledge, 2008).

³⁶ Bahar Rumelili, 'Identity and Desecuritisation: The Pitfalls of Conflating Ontological and Physical Security', *Journal of International Relations and Development*, 18:1 (2015), pp. 52–74.

³⁷ Catarina Kinnvall, and Jennifer Mitzen, 'Ontological Security and Conflict: The Dynamics of Crisis and the Constitution of Community', *Journal of International Relations and Development* 21: 4 (2018): 825–35.

³⁸ Subotić, 'Narrative'.

³⁹ Felix Berenskoetter, 'Parameters of a National Biography', *European Journal of International Relations* 20:1 (2014): pp. 262–288.

narrative's protagonist (actor), undertaking selected actions (plot) in a world framed in a particular way (setting). A state wishing to portray itself as humanitarian will tend to silence atrocities to help maintain this impression.⁴⁰ To admit these elements might induce shame, and thus ontological insecurity.⁴¹

Ontological security is not just an idea of who one is, in narrative form. It is maintained continually through established norms and routine behaviours.⁴² States 'perform actions in order to underwrite their notions of who they are'.⁴³ These actions, and the stories through which they are understood, constitute ontological security iteratively over time. An ontological security narrative suggests certain behaviours that would reflect continuity in an actor's sense of self in a changing world. Enacting the behaviour then reinforces an actor's ontological security, and the narrative through which it is understood.⁴⁴ In this way, strategic narratives can play a vital role in the ongoing maintenance of ontological security.

To clarify, states do not possess a singular national narrative as such – ontological security narratives are contested continually.⁴⁵ While dominant narratives emerge, there will invariably be multiple interpretations of a nation's past, present and future. Variation is limited however, for national identity narratives present only 'a restricted array of plausible scenarios of how the world can and cannot be changed and how the future ought to look'.⁴⁶ When states communicate – as with the BRI

⁴⁰ Roy Baumeister, and Stephen Hastings, 'Distortions of Collective Memory: How Groups Flatter and Deceive Themselves', in James Pennebaker, Darjo Paez, and Bernard Rim (ed.), *Collective Memory of Political Events: Social Psychological Perspectives*, (Hoboken: Taylor and Francis, 2013), pp. 277-294.

⁴¹ Trine Flockhart, 'The Problem of Change in Constructivist Theory: Ontological Security Seeking and Agent Motivation', *Review of International Studies* 42: 5 (2016): pp. 799–820.

⁴² Kinnvall and Mitzen, 'Ontological Security'.

⁴³ Ayşe Zarakol, 'Ontological (In)Security and State Denial of Historical Crimes: Turkey and Japan', *International Relations* 24: 1 (2010): p. 3.

⁴⁴ Flockhart, 'The Problem'.

⁴⁵ Will Delehanty, and Brent Steele, 'Engaging the Narrative in Ontological (In)security Theory: Insights from Feminist IR', *Cambridge Review of International Affairs* 22:3 (2009): pp. 523-540.

⁴⁶ Consuelo Cruz, 'Identity and Persuasion: How Nations Remember Their Pasts and Make Their Futures', *World Politics* 52: 3 (2000): p. 277.

– our main interest is the dominant narrative held by prevailing elites about their country’s national identity and world role. If a given understanding is not dominant, then greater contestation of a state’s strategic narrative will be observed, and the less likely a single, coherent vision of the future will be articulated.⁴⁷

Foreign policies are harder to justify with reference to ontological security when they break long-established routines – for instance when a state affiliates with the project of a former adversary. In this respect, ontological *in*security can originate from domestic conceptions of a state’s self or from concern that it is departing from behaviours expected of it by others internationally.⁴⁸

We focus on the intersection of strategic narrative and ontological security theories because they share a conundrum: both struggle to explain policy *change*. Strategic narrative theories rely heavily on persuasion through ‘resonance’ with existing views. Ontological security theories typically assume that actors prefer the status quo rather than experiencing ontological insecurity by breaking their routines.⁴⁹ Both theories imply continuity. In contrast, explanations for policy *change* – such as the adoption of a new policy for the first time, are undertheorized. Consequently, our theoretical framework, at the intersection of strategic narrative theory, ontological security theory, and policy change, advances the literature.

One solution to explaining policy change, Subotić suggests, is to acknowledge that ontological security narratives possess several strands or storylines.⁵⁰ During crises or ‘critical junctures’ when major policy shifts are contemplated, one or more strands can be used to maintain ‘autobiographical continuity’

⁴⁷ Beatrice De Graaf, George Dimitriu, and Jens Ringsmose (ed.), *Strategic Narratives, Public Opinion and War: Winning Domestic Support for the Afghan War*, (New York: Routledge, 2015).

⁴⁸ Zarakol, ‘Ontological (In)Security’, p. 4.

⁴⁹ Flockhart, ‘The Problem’.

⁵⁰ Subotić, ‘Narrative’.

while other elements change.⁵¹ New policies can be anchored to old ontological security narratives, maintaining a sense of routine despite policy change.⁵² Flockhart adds that states may overcome the ontological insecurity that comes from breaking existing routines if they can frame a given policy as successful.⁵³ This suggests the importance of strategic narratives promising future material gain, to convince audiences that policy change is worthwhile. Moreover, it suggests the value of a theoretical approach to strategic narratives that considers both material and ontological security elements.

3. Theoretical Framework

This brings us to the central premises of our theory concerning the role of strategic narratives in policy adoption. A strategic narrative justifying a new policy will be more compelling if it promises future material gain while enabling the actor to maintain its ontological security. If a state's policymakers do not feel they can construct a convincing strategic narrative to explain that a policy will be materially beneficial and maintain ontological security, they are less likely to adopt the policy.

This can be explained through an (over-)simplified narrative of the policy process. First, a state projects a strategic narrative, calling for others to change policy in line with its interests. Its projection through the media ecology will shape how coherent the strategic narrative appears upon reception. Target states' decision-makers must decide if the initiating state's strategic narrative is convincing. They must then consider all the factors that shape policy decisions. This includes considering what is *sayable* about the policy, assuming they have to legitimate it to key audiences. They must feel able to narrate the policy in a way that *convincingly promises material gain while maintaining their state's ontological security*. Considering this alongside other factors, they make a policy choice, which they then justify with their strategic narrative about how they anticipate the future will pan out. These strategic

⁵¹ Ibid., 610.

⁵² Ibid.

⁵³ Flockhart, 'The Problem'.

narratives can then be analysed to identify which aspects of the original state's strategic narrative policymakers find acceptable or problematic.

To clarify, we are not arguing that policy decisions can be explained solely by the causal effect of strategic narrative. Multiple factors shape policy decisions – domestic considerations, leaders' preferences, alliance behaviour, regime type, and the media ecology. These are context-specific. Even if a strategic narrative were decisive, it is impossible to demonstrate causation without direct access to decision-makers' minds.⁵⁴ Following authors such as Steele, Campbell, Waever and Krebs, we are not aiming to determine causal effects comprehensively.⁵⁵ We are attempting to provide a fuller account of the role of strategic narrative in the policy adoption process. We are concerned with what aspects of one state's strategic narratives are *sayable* by another state as the latter seeks to justify policy choices. This reflects Krebs' and Jackson's call for IR scholars to avoid 'focusing on unanswerable questions about actors' motives and to examine instead what actors say, in what contexts, and to what audiences'.⁵⁶ Our assumption is that policies require legitimation, and therefore policy choice is partially constrained by what can be narrated convincingly to key domestic and international audiences.⁵⁷

A key assumption underpinning our theoretical approach is that, contra realism, both material interests and ontological security concerns are narratively constituted. Janice Bially-Mattern notes significant crossover between realist and poststructuralist-informed accounts of how power shapes

⁵⁴ Ronald R. Krebs, and Patrick Thaddeus Jackson, 'Twisting Tongues and Twisting Arms: The Power of Political Rhetoric', *European Journal of International Relations*, 13:1 (2007), p. 40.

⁵⁵ David Campbell, *Writing security: United States Foreign Policy and the Politics of Identity* (Minneapolis: University of Minnesota Press, 1992); Krebs, *Narrative*; Steele, *Ontological Security*; Ole Waever, 'Identity, communities and foreign policy: discourse analysis as foreign policy theory', in Hansen and Waever, eds., *European Integration*, pp. 20-49.

⁵⁶ Krebs and Jackson, 'Twisting Tongues', p. 35.

⁵⁷ Ronald R. Krebs, *Narrative*. Waever, 'Identity'.

state action.⁵⁸ As she explains, realist accounts argue that state action is driven primarily by externally observable material interests, whereas constructivist and poststructuralist accounts argue that state actions are driven primarily by internally constructed ideas. But both follow similar logic: ideational and material power shape more or less 'rational' assessments of policy.⁵⁹

Rather than seeing material interests and ontological security concerns in this binary way, we follow Hansen, Waever and Steele in seeing both as discursively constituted in the narratives states tell to justify their policies.⁶⁰ When a state's leaders advocate a policy, they are uncertain whether other actors will keep their promises, or whether exogenous shocks might undermine anticipated material benefits. Their decisions are narratively constituted - they reflect a storyline or 'script' about how future events will play out.⁶¹ We argue that this script will be more compelling if it explains convincingly how future material gain will transpire while enabling a state to maintain a stable sense of 'who they are'.

Material and ontological security elements may also interact. A convincing promise of material gain may make decisionmakers feel more able to deal with the ontological insecurity that comes from significant policy shifts. In this way, the material gains and ontological security aspects of a strategic narrative can reinforce each other, making policy adoption more likely. If states can only narrate either material or ontological benefits convincingly, target states are more likely to reject a policy or choose partial involvement if they can. If the policy appears to promise little material gain and potentially undermines ontological security, it will likely be contested discursively and rejected. This can be represented in a two-by-two framework as follows:

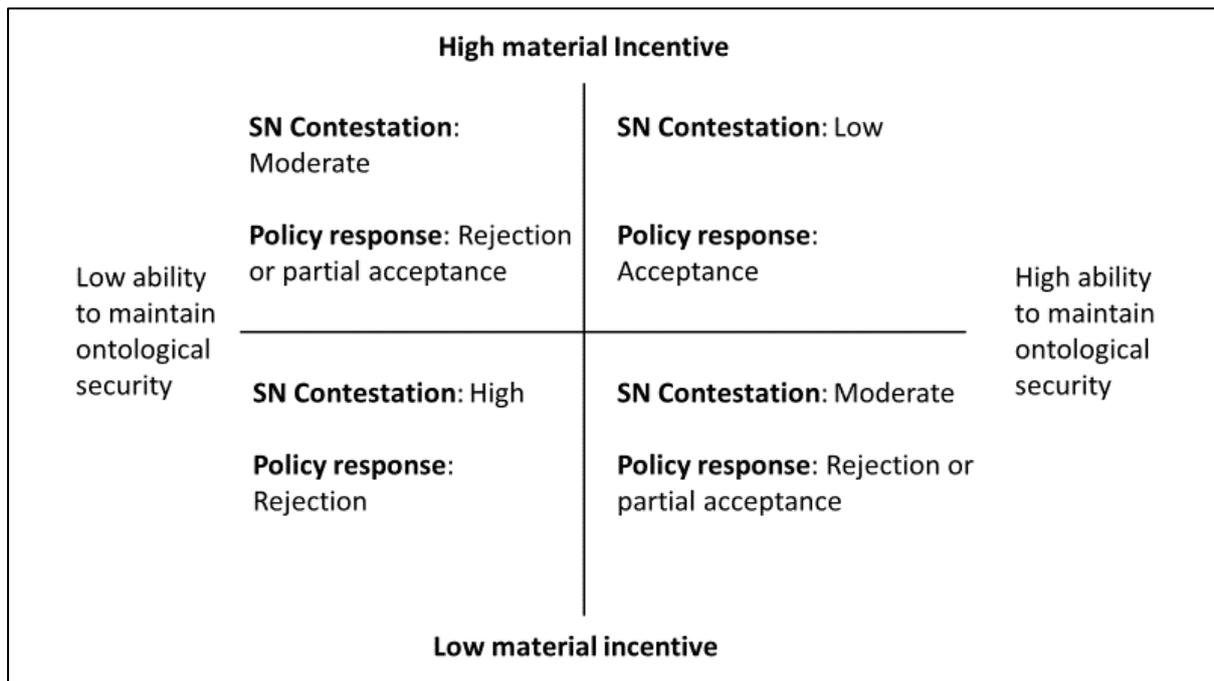
⁵⁸ Bially-Mattern, *Ordering*.

⁵⁹ *Ibid.*, p. 251.

⁶⁰ Hansen and Waever, *European Identity*; Steele, *Ontological Security*, p. 11.

⁶¹ Freedman and Michaels (ed.), *Scripting Middle East Leaders* (London: Bloomsbury, 2012).

Figure 2: Strategic narrative buy-in framework



4. Research Design and Methodology: China and the BRI

To test our proposed *strategic narrative buy-in framework*, we investigate state responses to China’s strategic narratives concerning the Belt and Road Initiative (BRI). China’s challenge is to convince others – through its words and actions, that the BRI reflects benign intentions and promises future prosperity. If it can, it can be said to have achieved strategic narrative ‘buy-in’. The challenge for other states is to narrate BRI affiliation in a way that credibly explains future material benefit while making the decision seem congruent with who they are. If they can marry these material and ontological security elements, they are more likely to accept fuller affiliation. If they cannot, they are likely to reject involvement or only affiliate partially.

The BRI differs from some foreign policy programmes in offering highly varied degrees of involvement. States can affiliate fully, by accepting investment projects and financing through ‘multilateral Cooperation Mechanisms under the BRF framework’, or simply sign bilateral and multilateral

documents containing unenforceable promises of future cooperation.⁶² Whatever states choose, they must justify their choices to domestic and international audiences. A range of possible policy responses makes it easier to link the degree of strategic narrative contestation with the policies individual states choose.

The challenge for China and potential BRI affiliates is that the material benefits of joining are hypothetical, not certain. Political leaders lack definitive knowledge of China's intentions. The BRI may bring 'peace and prosperity' or debt traps and insecurity. If other states feel affiliation threatens their ontological security—perhaps through association with a country with a poor human rights reputation, or through the idea of being (re-)colonised, they are more likely to contest China's strategic narrative and reject involvement. Alternatively, they may select looser economic cooperation, and strategically narrate this without branding it as a BRI-project.

Sample

Our research design studies BRI affiliation, comparatively, during the specific time period surrounding the Second BRF in 2019. We sampled seven countries to provide the broadest possible range of perspectives on the BRI as possible: from full embrace to full rejection. When choosing between countries with similar profiles, we did this pragmatically, based on which provided the richest data.

Our examples cover the four sectors of our framework – countries that:

Accept the BRI because it benefits them materially and upholds their ontological security.

Examples: Kazakhstan, Italy.

⁶² Ministry of Foreign Affairs of the People's Republic of China, 'List of Deliverables of the Second Belt and Road Forum for International Cooperation', (27 April 2019), available at: https://www.fmprc.gov.cn/mfa_eng/zxxx_662805/t1658767.shtml.

Accept the material benefits of the BRI but contest it on ontological security grounds.

Examples: UK, Netherlands.

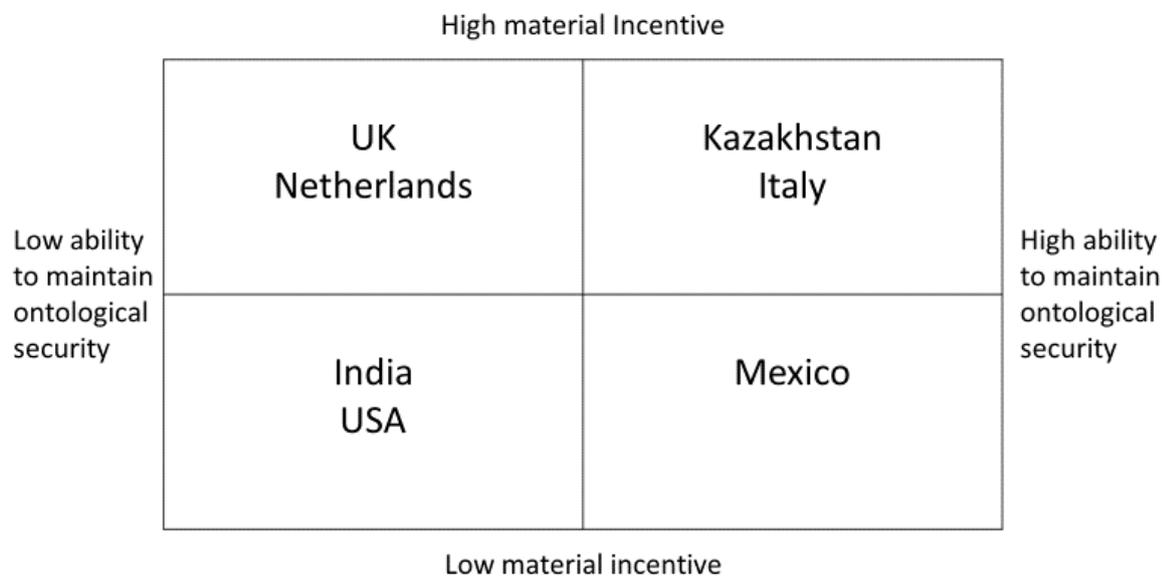
Reject both the material benefits of the BRI and see it as undermining their ontological security.

Examples: USA and India.

Accept that the BRI does not undermine their ontological security but perceive little material benefit.

Example: Mexico

Figure 3: Countries positioned in the BRI strategic narrative buy-in framework



The bottom right segment was difficult to populate for the BRI – though not for other policy initiatives necessarily. With the BRI, accepting the notion that trade and investment can generate wealth meant that most countries can identify material incentives to affiliate. This made it harder to identify countries with little to gain materially from the BRI, even if a partnership with China did not undermine

their ontological security. We therefore chose Mexico as the single example that illustrates this well, rather than shoehorn an additional case into the model for the sake of balance.

Countries' positions on the framework are not fixed. Only recently, Italy's BRI stance appeared to shift from the top left towards the top right corner. Mexico's position might change to the top right corner if it can hedge China and the US effectively while benefiting materially from affiliation.

Qualitative Narrative Analysis

We employ qualitative narrative analysis because this method has proven useful to identify the strategic narratives states construct to project their aims and identity and how others respond.⁶³ Covering an entire national discursive space is practically impossible.⁶⁴ Instead, we analyse political elites' narratives as these are where major policy positions are most likely to be observed.⁶⁵ To bound the study, and provide an updated view, we focus on the Second BRF in April 25-28, 2019, in Beijing. First, we identify China's BRI strategic narrative as articulated in official forum speeches and related BRI policy documents. We include historical material to contextualize how China's BRI strategic narrative has evolved. Next, we examine speeches, policy documents and media commentary that capture how respondent states strategically narrate about the BRI in 2019. We triangulate these to present a more comprehensive picture of which aspects of China's strategic narratives states co-opt, which they contest, and on what grounds.

References to material interests were straightforward to identify. They typically made reference to physical security or economic gain. References to ontological security were identified when states

⁶³ Miskimmon et al., *Forging the World*.

⁶⁴ Hansen and Waever, *European Identity*.

⁶⁵ *Ibid.*, p. 42; Hansen, *Security as Practice*.

explained policy decisions in relation to accounts of who the state is. China can be said to have secured 'buy-in' to their strategic narrative when states repeat this strategic narrative without contestation.

5. China's BRI Strategic Narratives

China's strategic narratives for the Second BRF must be put in the context of how the programme has been previously narrated and experienced. From the outset, China has claimed that in a globalising world, affiliation with the BRI will bring increased 'connectivity', 'peace' and 'prosperity'. During 2013 visits to Kazakhstan and Indonesia, Xi Jinping expressed its commitment to strengthening cooperation with countries along the land-based and maritime Silk Roads.⁶⁶ In 2015, Chinese authorities combined the Silk Road Economic Belt and 21st-Century Maritime Silk Road together in a Vision and Action plan 'to promote the implementation of the Initiative, instill vigor and vitality into the ancient Silk Road, connect Asian, European and African countries more closely and promote mutually beneficial cooperation to a new high and in new forms.'⁶⁷ To that end, China launched the Asian Infrastructure Investment Bank (AIIB) in 2015, created a well-endowed Silk Road Fund, and deepened cooperation between its own financial institutions and markets.⁶⁸

The leaders attending the 1st Belt and Road Forum in 2017 reinforced a shared commitment to 'promote a universal, rules-based, open, non-discriminatory and equitable multilateral trading system

⁶⁶ Ministry of Foreign Affairs of the People's Republic of China [FMPRC], 'President Xi Jinping Delivers Important Speech and Proposes to Build a Silk Road Economic Belt with Central Asian Countries', (7 September 2013), available at:

https://www.fmprc.gov.cn/mfa_eng/topics_665678/xjpfwzysiesgjtfhshzzfh_665686/t1076334.shtml; *China Daily*, 'President Xi gives Speech to Indonesian Parliament', (2 October 2013), available at: http://www.chinadaily.com.cn/china/2013xiapec/2013-10/02/content_17007915.htm.

⁶⁷ Office of the Leading Group for the Belt and Road Initiative, 'Vision and Actions on Jointly Building Silk Road Economic Belt and 21st Century Silk Road', (30 March 2015), available at: <https://eng.yidaiyilu.gov.cn/qwyw/qwfb/1084.htm>.

⁶⁸ Office of the Leading Group for the Belt and Road Initiative, 'Building the Belt and Road: Concept, Practice and China's Contribution', (May 2017), Beijing: Foreign Language Press.

with [the] WTO at its core.⁶⁹ With the BRI, China stated that it was ‘willing to combine the experience and foundations of its own development with the development will and comparative strengths of all countries’⁷⁰ to advance ‘a globalization that is open, inclusive and beneficial to all.’⁷¹

Despite China’s efforts, counternarratives have emerged elsewhere that the BRI is a predatory, corrupt project whose central character aims to trap countries in debt, enhancing its security at the cost of others, with the plot culminating in a new, Sino-centric world order. Evidence cited included Sri Lanka being bailed out by China – in exchange for conceding a 99-year lease for Hambantota port.⁷² States cite security concerns over Chinese infrastructure such as Huawei’s 5G technology, and concerns over mass human rights violations in Xinjiang, to dispute China’s benevolence.⁷³

In response, China has projected a more refined BRI strategic narrative. During the Second BRF in 2019, China advocated for ‘open, green and clean cooperation’, by introducing the new Beijing Initiative for Clean Silk Road (improving transparency and good governance), and the BRI International Green Development Coalition.⁷⁴ To generate ‘true benefits’ for participating states, Xi declared that China ‘will adopt widely accepted rules and standards and encourage participating companies to follow general international rules and standards in project development, operation, procurement and tendering and bidding.’⁷⁵ Simultaneously, China has been pushing back against debt trap labels.⁷⁶

⁶⁹ Office of the Leading Group for the Belt and Road Initiative, ‘Joint Communiqué of Leaders Roundtable of Belt and Road Forum’, (16 May 2017), available at: <https://eng.yidaiyilu.gov.cn/zchj/qwfb/13694.htm>. Article 2 and 7.

⁷⁰ ‘Building the Belt and Road’, 5.

⁷¹ ‘Joint Communiqué of Leaders’, article 16.

⁷² Department of Defense, ‘Assessment on U.S. Defense’, p. 29.

⁷³ Ibid.; The Netherlands Ministry of Foreign Affairs, ‘Policy Paper The Netherlands and China: A New Balance’, *Government of the Netherlands Website* (May 2019), available at: <https://www.government.nl/documents/policy-notes/2019/05/15/china-strategy-the-netherlands--china-a-new-balance>. Accessed 29 October 2019.

⁷⁴ ‘Working Together to Deliver’.

⁷⁵ Ibid.

⁷⁶ The Second Belt and Road Forum for International Cooperation, ‘Wang Yi: Evaluation of the Belt and Road Initiative (BRI) Should Be Based on Facts’, (19 April 2019), available at: <http://www.beltandroadforum.org/english/n100/2019/0427/c22-1292.html>.

The Joint Communiqué and Xi Jinping's keynote speech at the Second BRF outlined how the BRI supports China's domestic and foreign policies, explaining how China continues to 'advance along the path of socialism with Chinese characteristics, deepen sweeping reforms, pursue quality development, and expand opening-up'.⁷⁷ These commitments were explained as coinciding with ambitions such as the 'great national rejuvenation' and the 'China Dream',⁷⁸ which safeguard 'Beijing's core interests of state sovereignty, territorial integrity, access to natural resources.'⁷⁹ Internationally, China 'remains 'committed to peaceful development' and to building 'a community with a shared future for mankind'.⁸⁰ This blueprint of international cooperation reflected a Chinese view of a desirable world, but one in which 'strengthening multilateralism remains essential in addressing global challenges'.⁸¹ These aims are consistent with China's earlier strategic narratives of the BRI and international order.⁸²

For domestic audiences, China is the heroic central character – but to other countries, it portrays itself as a friendly and cooperative partner. The BRI is presented as compatible with national development strategies and multilateral frameworks such as the Eurasian Economic Union.⁸³ China's cooperative, partnership role is extended to developing countries (also framed under the South-South cooperation framework) and to developed countries. Chinese state media emphasizes repeatedly all the countries

⁷⁷ 'Working Together to Deliver'.

⁷⁸ Xi Jinping, *On the Governance of China* (Beijing: Foreign Languages Press, 2014).

⁷⁹ William A Callahan, 'China's "Asia Dream": The Belt Road Initiative and the New Regional Order 2016', *Asian Journal of Comparative Politics* 1:3 (2016): pp. 226–243.

⁸⁰ 'Working Together to Deliver'.

⁸¹ Ministry of Foreign Affairs of the People's Republic of China [FMPRC], 'Belt and Road Cooperation: Shaping a Brighter Shared Future. Joint Communiqué of the Leaders' Roundtable of the 2nd Belt and Road Forum for International Cooperation', (27 April 2019), available at: <https://eng.yidaiyilu.gov.cn/qwyw/rdxw/88230.htm>. Accessed 20 June 2019. Article 2.

⁸² Callahan, 'China's "Asia Dream"'.⁸²

⁸³ *Xinhua News Agency* [editorial], 'World leaders laud BRI's substantial progress, envision promising future', (27 April 2019), available at: <https://eng.yidaiyilu.gov.cn/qwyw/rdxw/88072.htm>. Accessed 20 June 2019. See also article 9 in the Joint Communiqué.

who have affiliated, especially the '22 European countries [who have] inked BRI cooperation documents with China' by 2019.⁸⁴

Concerning plot, China's BRI strategic narratives mythologise Chinese Civilization and the Silk Road.⁸⁵ Xi's reference to a 'Silk Road Spirit' evokes a promise of mutual cooperation and benefit.⁸⁶ In this way China is drawing on liberal ideology that takes for granted that trade (and the 'connectivity' implied by it) is inherently positive and peace bringing. The Silk Road analogy reinforces China's ontological security by implying continuity with a period when China was a dominant economic power. Meanwhile, this narrative silences its century of humiliation by imperial powers – a potential source of ontological insecurity. Alongside this, the Chinese Communist Party considers 'historical nihilism' (meaning denying the "inevitability" of China's march towards socialism) as a political threat to the stability of society, and consequently has banned public debate about the Party's past.⁸⁷ Taken together, this presents the Chinese people with 'a correct concept of history' which is hard to challenge publicly.⁸⁸ Foreign audiences receive a de-politicized and simplified historical narrative designed to be emotionally appealing, that speaks to liberal ideology.

Alongside this positive future vision, China's communication also challenged negative perceptions of the BRI. At the forum, it sought to counter accusations of debt trap diplomacy by citing leaders whose countries were seen as being exploited by the BRI. For instance, Malaysia renegotiated various BRI

⁸⁴ *Xinhua News Agency* [editorial], 'Europeans embrace Belt and Road Initiative as mutual benefits grow', (27 April 2019), available at: <https://eng.yidaiyilu.gov.cn/qwyw/rdxw/88202.htm>. Accessed 20 June 2019.

⁸⁵ Callahan, 'China's "Asia Dream"'; Carolijn van Noort, 'Strategic Narratives of the Past: An Analysis of China's New Silk Road Communication', *Global Society* 34:2 (2019): pp. 186-205.

⁸⁶ FMPRC, 'Belt and Road Cooperation'.

⁸⁷ *The Economist*, 'China is struggling to keep control over its version of the past', (29 October 2016), available at: <https://www.economist.com/china/2016/10/29/china-is-struggling-to-keep-control-over-its-version-of-the-past>. Accessed 6 May 2020; Lutgard Lams, 'Examining Strategic Narratives in Chinese Official Discourse under Xi Jinping', *Journal of Chinese Political Science* 23:3 (2018): pp. 387-411.

⁸⁸ Xi Jinping, *On the Governance*.

projects as their terms were seen as unfavourable.⁸⁹ Predictably therefore, Chinese media focused on Malaysian Prime Minister Mahathir Mohamed's statement that 'I fully support the Belt and Road Initiative. I am sure my country, Malaysia, will benefit from the projects'.⁹⁰ More broadly, Chinese officials are reported to have established more cautious communication about the BRI, publicizing it less aggressively, and toughening rules on what can be associated with the initiative to prevent the brand being diluted by unsuccessful projects.⁹¹

Together, these elements promote the BRI's material benefits while silencing risks. China-backed infrastructure presents a solution to the global infrastructure financing gap and meets states' desire for free trade and infrastructure connectivity. Its offer of alternative multilateral diplomatic and financial institutions is aimed at making globalization more inclusive and affiliation more attractive. The policy documents present a desirable image of China based on peace and goodwill. However, China's self-concept and view of world order presents a stumbling block in international communication because these narratives are not well aligned with how many target states see themselves, view China and its vision of world order. BRI strategic narratives support the ontological security of Beijing, but threaten the ontological security of some other states, for whom affiliation disrupts routines and norms China is seen to challenge.

6. Responses to China's strategic narrative – *multiple countries*

At the BRI summit itself, most state communications provided little insight into how far China's BRI strategic narrative was accepted, partially embraced or rejected. They tended to be brief official

⁸⁹ Department of Defense, 'Assessment on U.S. Defense', p. 29.

⁹⁰ *Xinhua News Agency*, 'World leaders'.

⁹¹ *Bloomberg News* [with assistance by Dandan Li, Miao Han, and Sarah Chen], 'China's Belt and Road Cracks Down on Corruption in Image Revamp', (22 April 2019), available at: <https://www.bloomberg.com/news/articles/2019-04-22/china-belt-and-road-cleanup>. Accessed 20 June 2019; Lily Kuo, 'Belt and Road forum: China's 'project of the century' hits tough times', *The Guardian*, (25 April 2019), available at: <https://www.theguardian.com/world/2019/apr/25/belt-and-road-forum-chinas-project-of-the-century-hits-tough-times>. Accessed 20 June 2019

statements embracing increased connectivity, multilateralism and trade. Few statements directly challenged China's strategic narrative on material or ontological security grounds. Contestation is clearer when looking more broadly at policy documents and speeches relating to the BRI.

Most countries accept BRI's economic potential, based on the general assumption that increased trade and investment enhances wealth. Countries would narrate this using plot: typically, by highlighting a historical moment that illustrates their trading credentials, and claiming that this makes them a natural partner for China. Syria exemplifies this: 'The connection between China and Syria dates back a thousand years, when Tadmour (Palmyra) operated as an important trade center between East and West. Therefore, China and Syria are natural partners.'⁹² Emplotting the BRI this way frames cooperation as a natural continuation of a historical relationship. The US was one exception, as its trade war with China demonstrates.

For many countries, particularly liberal democracies, ontological security concerns appear more prominent. First, China's domestic 'developmentalist' narrative is potentially problematic. With the BRI, China promotes the country's socio-economic development in under-developed provinces, notably Xinjiang and Tibet. However, numerous states consider China's treatment of ethnic minorities during this process to be human rights violations. Policy behaviour indicating close alignment with China thus potentially causes ontological insecurity by disrupting routines and norms for states who self-identify as promoters of human rights.

Secondly, full buy-in to the BRI requires acceptance of China's 'Five Principles of Peaceful Coexistence': mutual respect for each other's territorial integrity and sovereignty, mutual non-aggression, mutual

⁹² *The Syrian Observer* [editorial], 'The Belt and Road: A New Page of Cooperation Between China and Syria', (13 May 2019), available at: <https://syrianobserver.com/EN/news/50356/the-belt-and-road-a-new-page-of-cooperation-between-china-and-syria.html>. Accessed 27 August 2019.

non-interference in each other's internal affairs, equality and mutual benefit, and peaceful co-existence.⁹³ These principles privileges collective over individual rights, and a strict interpretation of state sovereignty. Simultaneously, China has established alternative multilateral diplomatic and financial institutions through which to decrease western (largely US) influence and increase its own, including the Silk Road Fund and the AIIB. These normative and institutional building blocks of the BRI threaten the ontological security of countries who are committed to a largely US-led liberal order. States' sense of ontological insecurity contributes to narrative contestation and rejection.

1. Full embrace – acceptance on material and ontological security grounds.

Kazakhstan and Italy were chosen because they exemplify BRI's success. The BRI was first introduced in Kazakhstan, and the country embraced it fully.⁹⁴ Italy is the first G7 country to embrace the BRI. Both attended the Second BRF in 2019.⁹⁵

Kazakhstan

Bordering Western China, and providing the most direct land route to Europe, Kazakhstan has clear material incentives to embrace the BRI.⁹⁶ Its full affiliation seems both logical, and per realism, predictable. There is more to it than that, though. Kazakhstan's leaders have also employed BRI rhetoric to boost its ontological security as an important regional actor. Adopting China's connectivity rhetoric, Kazakhstan portrays itself as a 'connector' in Eurasia between China and Europe. This fits nicely with the multi-vector diplomacy Kazakhstan has been using to develop foreign relations with Russia and China,⁹⁷ and bolsters the country's sense of importance. In this process, Kazakhstan silences

⁹³ Xi Jinping, On the Governance.

⁹⁴ FMPRC, 'President Xi Jinping'.

⁹⁵ Based on Shannon Tiezzi, 'Who Is (and Who Isn't) Attending China's 2nd Belt and Road Forum?', *The Diplomat*, (27 April 2019), available at: <https://thediplomat.com/2019/04/who-is-and-who-isnt-attending-chinas-2nd-belt-and-road-forum/>. Accessed 8 May 2019.

⁹⁶ Xiangming Chen, and Fakhmiddin Fazilov, 'Re-centering Central Asia: China's "New Great Game" in the Old Eurasian Heartland', *Palgrave Communications*, 4:71 (2018): pp. 1-12.

⁹⁷ Reuel R. Hanks, "'Multi-vector politics" and Kazakhstan's Emerging Role as a Geo-strategic Player in Central Asia', *Journal of Balkan and Near Eastern Studies*, 11:3 (2009): pp. 257-267.

local anti-China sentiment concerning Chinese immigration and labour practices, and fears of economic dependency. These could all cause ontological insecurity if the country was seen to be over-reliant or subservient to China. Instead, Kazakhstan's strategic narratives emphasise how BRI affiliation positions it as an important transit country.

Kazakhstan's BRI strategic narratives reflect increased economic interdependency, particularly in the energy and transport sectors. The BRI is compatible with Kazakhstan's own infrastructure program - the Nuryly Zhol Program ('The Bright Road'), introduced by former President Nazarbayev Nursultan.⁹⁸ Moreover, the programs complement each other, with Nursultan explaining how Nuryly Zhol has 'become an important part of the cooperation under the BRI'.⁹⁹ Characterising Kazakhstan as a 'modern and competitive transit hub' and as China's equal partner strengthens Kazakhstan ontological security by portraying the country as a unique and important 'hub' linking China and Europe.¹⁰⁰ Ongoing performance of this role through BRI-related diplomatic and economic activity thus has the potential to reinforce Kazakhstan's ontological security over time.

Kazakhstan's strategic narrative mirrors China's view of world order based on inclusivity, peace and cooperation. Though officially retired, Nursultan attended the Second BRF, claiming that:

It is clear that the world is already tired of conflicting geopolitical concepts and strives for geo-economics and joint development. The Belt and Road displays much more than a complex of

⁹⁸ The Prime Minister of Kazakhstan Official Website, 'The State Program of Infrastructure Development "Nuryly Zhol" for 2015-2019', 2019, available at: https://primeminister.kz/en/page/view/gosudarstvennaya_programma_infrastrukturnogo_razvitiya_nurli_zhol_na_2015__2019_godi.

⁹⁹ The Second Belt and Road Forum for International Cooperation, 'Xi Jinping Meets with the First President of Kazakhstan Nursultan Nazarbayev', (30 April 2019), available at: <http://www.beltandroadforum.org/english/n100/2019/0430/c22-1414.html>.

¹⁰⁰ Malika Orazgaliyeva, 'Kazakhstan has turned into 'competitive transit hub', Nazarbayev tells Belt and Road forum', *The Astana Times*, (27 April 2019), available at: <https://astanatimes.com/2019/04/kazakhstan-has-turned-into-competitive-transit-hub-nazarbayev-tells-belt-and-road-forum/>. Accessed 20 June 2019.

new opportunities for economic cooperation. The initiative reflects a strong historical demand for security, trade and prosperity of more than 120 countries.¹⁰¹

In this plot, the past is characterized by counterproductive geopolitical competition, but more enlightened states now realise that future 'security, trade and prosperity' necessitates greater cooperation. Material gains are the main focus.

Kazakhstan's buy-in to the BRI remains consistent after the President's resignation months prior to the Second BRF. When attending the joint meeting at the Second BRF, Nursultan said that 'Kazakhstan will remain consistent and stable in its internal and foreign policies after my resignation.'¹⁰² Nursultan's attendance was not surprising, as he remains the 'de facto leader'.¹⁰³ Nursultan's concentration of power is based on a neo-patrimonial system.¹⁰⁴ As the 'father' of the nation, his presence and statement suggests a continuation of BRI legitimization, based on the anticipation of material gains from infrastructure connectivity and Kazakh's sense of self as an equal partner to China.

Taken together, Kazakh authorities openly embrace BRI strategic narratives. Policy adoption represents continuity as regards to economic interdependency, suggests the anticipation of material gains, and that ongoing affiliation boosts their significance in the regional and global order. For China, this is a case of successful strategic narrative buy-in.

¹⁰¹ Ibid.

¹⁰² 'Xi Jinping Meets.'

¹⁰³ Tiezzi, 'Who Is'

¹⁰⁴ Rico Isaacs, "'Papa" – Nursultan Nazarbayev and the Discourse of Charismatic Leadership and Nation-Building in Post-Soviet Kazakhstan', *Studies in Ethnicity and Nationalism*, 10:3 (2010): pp. 435-452.

Italy

Italy created controversy as the first G7 country to affiliate with the BRI, signing a Memorandum of Understanding in March 2019.¹⁰⁵ This was non-binding, but contentious, splitting the ruling coalition. The left-wing Five Star Movement supported affiliation; the far-right League opposed it. This, combined with US and EU opposition, failed to deter the government, however. Still, this contestation indicated that the purported material and ontological security benefits of the BRI were less clear for Italy than Kazakhstan.

Italy's BRI embrace focuses mainly on its material benefits, through projects in Italy and collaboration with China in third markets. The Italian Joint Statement of 2019 reiterates China's calls for improved connectivity and partnership.¹⁰⁶ Under the heading of economic diplomacy, Italy seeks to help its small and medium sized enterprises recognise 'the cooperation opportunities in projects either under way or being developed by China in Egypt, Kazakhstan and Georgia'.¹⁰⁷ Italy has sought to connect their local infrastructure with the BRI and the Trans-European Transport Networks to 'enhanc[e] cooperation in the sector of ports, logistics and maritime transport'.¹⁰⁸ The infrastructure synergy is communicated as beneficial and apolitical, concealing the operational and political complications likely to arise from China's growing role in Italy's infrastructure network.

¹⁰⁵ Italian Ministry of Foreign Affairs and International Cooperation, 'Joint Statement on the 9th Meeting of the China-Italy Joint Government Committee', (25 January 2019), available at: https://www.esteri.it/mae/en/sala_stampa/archivionotizie/comunicati/2019/01/comunicato-congiunto.html. Accessed 27 August 2019.

¹⁰⁶ Ibid.

¹⁰⁷ Italian Ministry of Foreign Affairs and International Cooperation, 'Italy's Economic Diplomacy, 6 February: latest news from the world', (6 February 2019), available at: https://www.esteri.it/mae/en/sala_stampa/archivionotizie/approfondimenti/2019/02/cina-belt-and-road-initiative.html. Accessed 6 June 2019.

¹⁰⁸ Italian Ministry of Foreign Affairs, 'Joint Statement'.

The projected material benefits are countered by concerns about national security, partly from Chinese digital infrastructure, but more importantly the risk of Italy becoming even more indebted.¹⁰⁹ Ontological security issues also emerge from other members of the administration. Matteo Salvini, The League's leader, expressed imperialism-related concerns: 'If it's a matter of helping Italian companies to invest abroad we are willing to talk to anyone,' Salvini said. 'If it's a question of colonising Italy and its firms by foreign powers, no'.¹¹⁰ The idea of 'colonisation' implies subservience, suggesting exploitation rather than material gain. It is also potentially a source of ontological insecurity if over time Italy were seen as performing a role which undermines its sovereignty.

The Italian government sought to address domestic contestation of China's strategic narrative in several ways. First, they claimed that any relationship would only be 'economic and commercial', without changing 'the framework of our political relations and the Euro-Atlantic placement of our country'.¹¹¹ The latter point was likely designed to alleviate US concerns. Contention about China's human rights record was largely silenced. Human rights were mentioned in Italy and China's Joint Statement, but it only anticipated non-committal 'dialogue'. This 'dialogue' makes justifying affiliation easier without undermining Italy's ontological security as a liberal democracy committed to human rights. Contrastingly, connectivity in economic terms is explained through a clear set of action plans.¹¹²

Second, the government drew on historical narratives to stabilize its intended future relationship with China. Contradicting Salvini's concern about colonisation, Michele Geraci, Italy's Junior Industry Minister, explained that '[w]e are at the heart of the Mediterranean, yet the Chinese are everywhere

¹⁰⁹ ANSA [editorial], 'China/Italy: No to colonisation on Belt and Road – Salvini', (11 March 2019), available at: http://www.ansa.it/english/news/2019/03/11/chinaitaly-no-to-colonisation-on-belt-and-road-salvini_268eef47-4669-4334-96ce-794b7f906aba.html. Accessed 27 August 2019.

¹¹⁰ Ibid.

¹¹¹ Ibid.

¹¹² Italian Ministry of Foreign Affairs, 'Joint Statement'.

in the region except here'.¹¹³ Geraci draws on the mythology of the Mediterranean as the centre of the Roman Empire, linked to China by the Silk Roads, making its partnership with an economy such as China appear as a natural progression of the past. This reinforces Italy's ontological security over time by bridging back to a period when Italy was at the centre of the Mediterranean and the world's leading civilization. Ontological security is further reinforced through recurrent behaviours, with the joint statement anticipating the 'celebration of the 50th anniversary of the establishment of Italy-China diplomatic relations ("Road-to-Fifty") in 2020'.¹¹⁴ This makes affiliation with China appear routine. This contrasts with observers who portrayed affiliation as a disruption of routines by distancing Italy from its historical allies, the US and Europe.¹¹⁵

Italy exhibits domestic contestation of China's strategic narrative. However, the combination of sufficient material incentives, and the ability to narrate affiliation in a way grounded in Italian nationalist myth, made policymakers feel that they could explain BRI affiliation acceptably to their target audiences. This represents a considerable success of China's strategic narrative in securing buy-in from a large, Western-orientated economy.

2. Partial embrace – material acceptance, ontological rejection

The easiest sector of our framework to populate for the BRI consists of states that acknowledge material incentives of the BRI, but express concern about China's adoption of international rules and standards and its human rights record. These are common arguments of liberal democracies contemplating closer affiliation. Both the United Kingdom and the Netherlands have not affiliated, partly because they appear unable to project a convincing strategic narrative for domestic citizens and international allies (especially the US) which maintains their ontological security.

¹¹³ Yara Nardi, 'Italy can't resist China's "Belt and Road" deal, despite US opposition', (21 March 2019), available at: <https://www.pri.org/stories/2019-03-21/italy-cant-resist-chinas-belt-and-road-plan-despite-us-opposition>. Accessed 2 September 2019.

¹¹⁴ Italian Ministry of Foreign Affairs, 'Joint Statement'.

¹¹⁵ Nardi, 'Italy can't resist'.

United Kingdom

The UK exemplifies a country recognizing the BRI's material incentives, while harbouring clear ontological security concerns. Then-Chancellor Philip Hammond's forum speech explained that 'none of us doubts that the Belt and Road Initiative has tremendous potential to spread prosperity and sustainable development – touching, as it does, potentially 70% of the world's population'.¹¹⁶ Like other countries, he suggested that the UK and China are 'natural partners' experiencing a 'golden era' of relations.¹¹⁷ These points make the material benefits of BRI affiliation appear obvious. They also uphold British ontological security, as affiliation might enable Britain to maintain its sense of self as a nation actively committed to promoting development and a 'force for good' worldwide.¹¹⁸

Hammond's speech hints at concerns about 'fair standards' and upholding the 'rules-based international system'.¹¹⁹ More explicit material and ontological security concerns are outlined in a parliamentary document shortly before the Second BRF:

China is a force for order—but not liberal order. China wants rules to be enforced—but not rules which encroach on what it sees as its core interests. Protecting core interests is what all states try to do in foreign policy, but what makes China different is that those interests are inextricably linked with the interests and perceived legitimacy of the Communist Party. This makes China a viable partner for the UK on some issues, but an active challenger on others. On the positive side... the Party's requirement to deliver economic growth in order to maintain

¹¹⁶ Philip Hammond, 'Belt and Road Forum: Philip Hammond's Speech', *UK Government Website*, (26 April 2019), available at: <https://www.gov.uk/government/speeches/belt-and-road-forum-philip-hammonds-speech>. Accessed 6 June 2019.

¹¹⁷ Hammond, 'Belt and Road Forum'.

¹¹⁸ Colley, Thomas. 'Is Britain a Force for Good? Investigating British Citizens' Narrative Understanding of War'. *Defence Studies* 17: 1 (2017): 1–22.

¹¹⁹ Hammond, 'Belt and Road Forum'.

legitimacy makes China an advocate, like the UK, for a stable trading order. ... On the negative side, the Party's need to maintain domestic control leads China to oppose global initiatives, supported by the UK, which promote free societies and protect human rights.¹²⁰

This statement reflects two recognized elements of British national identity and ontological security. The first is Britain as a 'global trader' – an idea underpinned by imperial mythology, on the basis that only a uniquely capable trading nation could maintain such a vast empire.¹²¹ The second element is commitment to promoting human rights and liberal democratic values worldwide – to which the document alludes further in expressing concerns about the treatment of Uighurs in Xinjiang. Closer trade with China in itself does not undermine British ontological security, and in this respect the narrative of Britain as a 'global trader' could maintain ontological security by providing 'autobiographical continuity' for Britain were it to affiliate with the BRI.¹²² However, aligning closely with a country with a poor human rights record would undermine British ontological security, as would shifting away from its major ally, the US.

Britain therefore provides a relatively straightforward case of a country accepting the BRI's economic incentives but only partially, due to ontological security concerns. Hence the parliamentary committee's recommendation that Britain 'continue to refrain from signing a Memorandum of Understanding'.¹²³

¹²⁰ House of Commons Foreign Affairs Committee, 'China and the Rules-Based International System: Sixteenth Report of Session 2017-19', HC612, available at: <https://publications.parliament.uk/pa/cm201719/cmselect/cmfaaff/612/612.pdf>, p. 13. Accessed 4 September 2019.

¹²¹ Colley, 'Is Britain'.

¹²² Subotić, 'Narrative', 610.

¹²³ House of Commons, 'China and the Rules-Based', p. 3.

Instead, Britain has focused more on ‘helping China’ to raise standards of BRI projects. This includes legal or financial expertise, noting that Britain was ‘the first major Western country to express its support for the Asian Infrastructure Investment Bank (AIIB)’.¹²⁴ That this multilateral institution appears to abide by the ‘rules based international system’ makes it easier for the UK to construct a convincing strategic narrative about AIIB affiliation. Positioning itself as China’s helper further bolsters British ontological security by implying superiority over China in this area (if not in others).

The Netherlands

Like the UK, the Netherlands has not affiliated officially with the BRI. Instead it has produced a policy document suggesting the need for ‘a new balance’ between the countries.¹²⁵ The document stipulates that Dutch ‘openness means that [they] have to carefully consider whether the benefits of taking advantage of opportunities outweigh the need to protect [their] security, [their] earnings potential and values such as the rule of law and human rights’.¹²⁶ The Dutch policy is aligned with the EU strategy: ‘The Netherlands and China have common ground in pursuing connectivity, as long as it is sustainable and compatible with the EU’s parameters and offers tangible opportunities and projects for both parties. In that spirit, the Netherlands has concluded an MoU with China on cooperation (by companies) in third markets’.¹²⁷ When combined with Prime Ministerial speeches, it reveals BRI-applicable material incentives and ontological security concerns.

Dutch government rhetoric endorses many aspects of China’s strategic narrative while contesting others. The ‘new balance’ document describes the Dutch position towards China as ‘constructive and critical. It seeks to pursue cooperation on the basis of shared interests, with due regard for ideological

¹²⁴ Ibid, p. 15.

¹²⁵ The Netherlands Ministry of Foreign Affairs, ‘Summary of The Netherlands and China: A New Balance’ and the ‘Policy Paper’.

¹²⁶ The Netherlands Ministry of Foreign Affairs, ‘Policy Paper’. P.8.

¹²⁷ Ibid. P. 18.

differences'.¹²⁸ This tension is reflected in polarized debate on China in the Netherlands, captured by the aphorism that 'China is heaven for merchants, but hell for idealists'.¹²⁹ The challenge is that being an outward-looking 'merchant' and a liberal democratic 'idealist' are both key elements of the Dutch national story through which its ontological security is maintained over time.

Boosting trade through working closer with China can be justified by the Dutch government on material and ontological security grounds. Prime Minister Mark Rutte, at the Boao Forum in 2018, explains the material benefits of 'connectivity' in a way that mimics China's BRI strategic narrative: 'The Netherlands has always been an open economy and a connective logistics hub. And it always will be. For many Asian companies, the port of Rotterdam is the gateway to Europe.'¹³⁰ Rutte endorses China's emphasis on improving trade and 'connectivity' on ontological security grounds too:

Connectivity is the theme of this trade mission, and it's part of my country's DNA. As a small coastal nation we simply can't afford to shut ourselves off from the outside world. We've always been outward-looking. The first Dutch ships reached China back in the seventeenth century, and we've been enjoying mutual trade, knowledge exchange and partnerships ever since.¹³¹

¹²⁸ The Netherlands Ministry of Foreign Affairs, 'Summary'.

¹²⁹ Stef Blok, (Dutch Minister of Foreign Affairs), 'Speech on Presentation of Dutch Government's China Strategy', *Government of the Netherlands Website*, (15 May 2019), available at: <https://www.government.nl/documents/speeches/2019/05/15/speech-dutch-governments-china-strategy-blok>. Accessed 19 June 2019.

¹³⁰ Mark Rutte, 'Speech by the Dutch Prime Minister Mark Rutte at the Boao Forum for Asia: 'An Open and Innovative Asia for a World of Greater Prosperity' in Hainan, China', *Government of the Netherlands website* (10 April 2018), available at: <https://www.government.nl/documents/speeches/2018/04/10/speech-by-the-dutch-prime-minister-mark-rutte-at-the-boao-forum-for-asia-%E2%80%99an-open-and-innovative-asia-for-a-world-of-greater-prosperity%E2%80%99-hainan-china-10-april-2018>. Accessed 29 July 2019.

¹³¹ Mark Rutte, 'Speech by Prime Minister Mark Rutte at a trade dinner, Guangzhou, China', *Government of the Netherlands website* (11 April 2018), available at: <https://www.government.nl/documents/speeches/2018/04/11/speech-by-prime-minister-mark-rutte-at-a-trade-dinner-guangzhou-china>. Accessed 29 July 2019.

Here Rutte adds narrativity to his statement by suggesting that supporting closer trade with China is a continuation of historical behaviour. Rutte explains that trade and connectivity are integral to the Dutch character – part of their ‘DNA’ as a ‘small’ and ‘outward-looking’ coastal nation.

Rutte also suggests that a relationship with China upholds his country’s sense of identity because both countries are inherently creative and have an ‘innovative mindset’.¹³² These statements show how the ‘connectivity’ aspect of China’s strategic narrative is persuasive in the Dutch case as the government could explain its benefits on material and ontological security grounds. Given that it can, one might wonder why the Netherlands has not embraced the BRI more formally.

As with the UK, though, concerns emerge in the Netherlands’ China strategy that ‘the Chinese Communist Party (CCP) and the Netherlands disagree mainly when it comes to freedom, particularly individual freedoms’. Moreover, ‘the CCP is calling into question the universality of human rights, and not without some success’.¹³³ Like the UK, the Netherlands’ reticence towards BRI affiliation is not expressed through countering the content of China’s BRI strategic narrative, but on behaviours it silences, especially its human rights record. These countries can readily promote free (and fair) trade materially, but their leaders feel unable to advocate aligning formally with a country seen as undermining liberal values without undermining their ontological security. This explains their partial embrace.

3. Rejection on both material and ontological security grounds.

The United States and India express both material and ontological security concerns about the BRI. Their economies may be closely intertwined with China, but policy statements in both countries

¹³² Rutte, ‘Speech by Prime Minister’.

¹³³ The Netherlands Ministry of Foreign Affairs, ‘Summary’.

around the Second BRF express grave concerns about the institutional and normative building blocks associated with the BRI, and fears of geopolitical power shifts in China's favor.

United States

Before and during the Second BRF, US government opposition was clear. A Department of Defense (DoD) report in December 2018 frames China as a national security threat seeking 'to displace the United States in the Indo-Pacific region, expand the reaches of its state-driven economic model, and reorder the region in its favor as the preeminent power'.¹³⁴ The same report expresses concern about the BRI providing 'military advantage' to Beijing, access to foreign ports, and coercive leverage over other countries through debt-trap diplomacy.¹³⁵

US responses have low narrativity. There is little attempt to situate the BRI historically. The plot mainly raises examples of states whose economies, sovereignty and/or security the BRI undermines. The US narrates on behalf of these countries, characterising itself as the defender of international norms and state sovereignty. The BRI is not considered entirely malignant, with the DoD acknowledging that 'many countries have genuine economic development needs, particularly for infrastructure'.¹³⁶ Nevertheless, the report specifies 17 examples where it claims Chinese investment has harmed host countries.¹³⁷ The US rejects China's claims that the BRI promises benevolent partnership. It frames China as using economic dependency to make other countries align politically with it.¹³⁸

During the forum, Secretary of State Mike Pompeo repeatedly criticised China for promoting 'corrupt infrastructure deals in exchange for political influence' and using 'bribe-fuelled debt-trap

¹³⁴ Department of Defense, 'Assessment on U.S. Defense', p.3.

¹³⁵ Ibid.

¹³⁶ Ibid., p. 30.

¹³⁷ Ibid., p. 3.

¹³⁸ Ibid., p.19.

diplomacy'.¹³⁹ Commenting on Italy's prospective affiliation, the National Security Council tweeted that 'endorsing BRI lends legitimacy to China's predatory approach to investment and will bring no benefits to the Italian people'.¹⁴⁰

These critiques combine material and ontological security concerns. They reflect US threat perceptions of an increasingly assertive China – challenging the primacy and exceptionalism of a country whose recent history – before the Trump administration at least – has been routinely narrated as that of the 'leader of the free world'. Together, these elements make it hard for the US to justify pro-BRI policies, even if in general terms improved connectivity and trade between the US and China would bring economic benefits. Consequently, the US rejects China's strategic narratives and BRI affiliation.

India

Bordering China, India could benefit significantly from BRI affiliation. As the Indian Ambassador to China explained in March 2019, 'India shares the global aspiration to strengthen connectivity and it is an integral part of our economic and diplomatic initiatives'.¹⁴¹ However, India harbours sovereignty and national security concerns, leading it to reject BRF attendance for a second time. Specifically, a prominent trade route of the BRI, the Chinese-Pakistan Economic Corridor (CPEC) linking Xinjiang to the Pakistani port of Gwadar, routes through Pakistan-controlled Kashmir, which India has claimed since independence in 1947. This is seen as violating Indian sovereignty, boosting economically its long-term competitor, Pakistan. These concerns are not just material – they challenge ideas about

¹³⁹ Ben Blanchard, 'China says "fed up" with hearing U.S. complaints on Belt and Road', *Reuters*, (9 May 2019), available at: <https://uk.reuters.com/article/uk-china-silkroad-usa/china-says-fed-up-with-hearing-u-s-complaints-on-belt-and-road-idUKKCN1SF0VQ>. Accessed 3 September 2019.

¹⁴⁰ National Security Council, *Twitter*, (9 March 2019), available at: <https://twitter.com/whnsc/status/1104402719568203776?lang=en>. Accessed 3 September 2019.

¹⁴¹ *The Economic Times* [editorial], 'India signals to boycott China's Belt and Road Forum for second time', (20 March 2019), available at: <https://economictimes.indiatimes.com/news/politics-and-nation/india-hints-wont-attend-aprils-belt-and-road-forum/articleshow/68494152.cms?from=mdr>. Accessed 3 September 2019.

what constitutes the territorial integrity of the Indian nation. Consequently, the BRI can be interpreted as undermining both Indian physical and ontological security, despite potential economic gains from affiliation. This explains India's BRI opposition and its forum non-attendance.

An additional ontological security concern for India is its status in the Indo-Pacific. Subservience to a Chinese regional order is a potential source of ontological insecurity for the nationalist Modi regime. As an article in the *Hindu Times* claimed during the forum, the BRI is not just 'a branding exercise for China's mega Belt and Road Initiative (BRI) of transnational connectivity'; it is 'actually being used by Beijing to project power. It wants to emerge as a countervailing force to the US, particularly in the Indo-Pacific.' Because of this 'the Chinese worldview directly clashes with India's interests. China wants India to remain subservient to it in the region'.¹⁴² Using similar language to the US, India also emphasises that connectivity initiatives must be 'based on universally recognised international norms, good governance and rule of law', and 'follow principles of openness, transparency and financial sustainability'.¹⁴³ Again these concerns are material and ontological, with India wanting to be seen as upholding these values internationally through both words and routine behaviours.

The Chinese response to India is illustrative, in that it seeks to downplay India's physical and ontological security concerns by emphasising that the BRI is 'only an economic initiative' that 'has nothing to do with the sovereign and territorial disputes left from history between any two countries'.¹⁴⁴ However, enmity with others, and past conflicts, are often important constituents of ontological security narratives, and not something nations can easily drop on request. In addition to ongoing tensions with Pakistan, India and China have fought a border conflict in 1962 over

¹⁴² *The Times of India* [editorial], 'Belt and Road Forum: It doesn't serve India's Interests to join this Chinese Party', (25 April 2019), available at: <https://timesofindia.indiatimes.com/blogs/toi-editorials/belt-and-road-forum-it-doesnt-serve-indias-interests-to-join-this-chinese-party/>. Accessed 3 September 2019.

¹⁴³ *The Economic Times* [editorial], 'India signals to Boycott'.

¹⁴⁴ *The Times of India* [editorial], 'China to hold 2nd BRF meet on Thursday; India to give it a miss', (24 April 2019), available at: <https://timesofindia.indiatimes.com/world/china/china-to-hold-2nd-brf-meet-on-thursday-india-to-give-it-a-miss/articleshow/69029326.cms>. Accessed 3 September 2019.

Kashmir/Aksai Chin, and border skirmishes have erupted again at the time of writing. India cannot dismiss these aspects of history as irrelevant. Consequently, India appears unable to formulate a strategic narrative to legitimize BRI affiliation.

4. Partial embrace – limited material interests, ontological security maintained

As it is relatively straightforward to argue that increased trade and connectivity can enhance wealth, it is easy for most countries to identify economic material incentives for BRI affiliation. More difficult is to find countries whose strategic narrative responses suggest they would not benefit materially, even if they have no ontological security concerns. Countries matching these criteria would be those that rely heavily on China's economic competitors (in this case, the United States), which risks undermining these relationships through affiliation. Mexico is one such example.

Mexico

China has invited Mexico to join the BRI.¹⁴⁵ As of yet, Mexico has not ratified a Memorandum of Understanding with it. Mexico's rhetoric suggests openness to engagement. The President, Andrés Manuel López Obrador, advocated in November 2018 for a 'new chapter' with China.¹⁴⁶ The Second BRF document states how Mexico signed up to the International Commercial Dispute Prevention and Settlement Organization and signed cooperation documents in science, technology and innovation.¹⁴⁷ The latter includes scholarships for Mexican students at Chinese institutes of higher learning.¹⁴⁸

¹⁴⁵ Denisse Herrera, 'Mexico and China Can Be Stronger Together: Mexican Gov't', (5 June 2019), available at: <https://www.telesurenglish.net/news/Mexico-and-China-Can-Be-Stronger-Together-Mexican-Govt-20190605-0018.html>. Accessed 17 September 2019.

¹⁴⁶ *AND Político* [editorial], 'AMLO quiere abrir un Nuevo Capítulo en la Relación Bilateral con China', (10 November 2018), available at: <https://politica.expansion.mx/presidencia/2018/11/10/amlo-quiere-abrir-un-nuevo-capitulo-en-la-relacion-bilateral-con-china>. Accessed 7 September 2019.

¹⁴⁷ Ministry of Foreign Affairs of the People's Republic of China, 'List of Deliverables'.

¹⁴⁸ Mexican Federal Government, 'Youth of Excellence Scheme of China (Yes China)-Master Program', (March 2019), available at: https://www.gob.mx/cms/uploads/attachment/file/444051/YOUTH_OF_EXCELLENCE_SCHEME_OF_CHINA__YES_CHINA_-MASTER_PROGRAM_2019.pdf. Accessed 17 September 2019.

Undersecretary of Foreign Trade, Luz Maria De la Mora, told Chinese officials at a Mexico-China economic forum in 2019 that ‘Mexico is a friend and partner to China. We know that with China, Mexico can be stronger, and with Mexico, China can be a stronger country too’.¹⁴⁹ This implies that Mexico’s ontological security might not be undermined by affiliation provided it can continue to engage in routines associated with its close economic relationship with the US. Moreover, the commercial interests of Mexico and China potentially overlap. De la Mora explained that ‘[w]e do not have any doubt that the approach with China through the commercial path and investment will allow us to approach Mexico’s commercial policy, which is based on the three axes of inclusion, innovation, and diversification’.¹⁵⁰ Rhetorical promises such as ‘inclusion’ and ‘innovation’ resemble China’s strategic narratives about inclusive globalization and sustainable growth.

Mexico’s partial BRI embrace is made more complex by ontological security and material issues, however. Buy-in would not explicitly undermine their sense of self. Mexico welcomes trade and foreign investment from diversified partners. This is not the full story, though. Mexico has a history of Sinophobia and anti-China campaigns, with Chinese immigrants having faced discrimination and violence in the 19th and 20th centuries.¹⁵¹ If Mexico deepens economic collaboration with China, the authorities will need to consider how to legitimize this policy change with regard to the stories through which Mexican ontological security is maintained.

Narrating material benefits is difficult for Mexico, which explains their partial embrace of the BRI. Mexico-China relations are defined by the United States, and the United States-Mexico-Canada

¹⁴⁹ Herrera, ‘Mexico and China’.

¹⁵⁰ Ibid.

¹⁵¹ Antonio C. Hsiang, ‘Mexico should offer its own apology—to the Chinese-Mexican community’, *Mexico News Daily*, (10 May 2019), available at: <https://mexiconewsdaily.com/opinion/mexico-should-offer-its-own-apology/>. Accessed 17 September 2019.

Agreement (USMCA) which is only ratified by Mexico.¹⁵² In fact, 'Mexico will be limited in its ability to make separate agreements with China as this has been built into the USMCA deal'.¹⁵³ Also, any hedging strategies available to Mexico would need to navigate its reliance on US remittances.

The unfavourable trade balance between Mexico and China is another issue. Marcelo Ebrard, the Mexican foreign minister, declares 'that from an economic point of view, the bilateral relationship is increasingly important but we are looking for greater trade, more investment and better economic relation[s]'.¹⁵⁴ Finally, Mexico has also experienced failed Chinese infrastructure projects. Former President Enrique Peña Nieto had to scrap a contract awarded to a consortium led by China Railway Construction Corporation, to build a rail link between Mexico City and Querétaro after an outcry over transparency.¹⁵⁵

Overall, Mexico's BRI strategic narratives suggest no significant ontological security concerns about working with China. However, material concerns limit the viability of affiliation. Consequently, a partial embrace is the most that can be expected from Mexico. Its discourse articulates no concrete policy goals, merely that both sides 'are working on a road map "for the next five years," for which they must "intensify political dialogue"'.¹⁵⁶

¹⁵² *Dezan Shira & Associates*, 'The Belt & Road Initiative in Mexico & Central America', (27 May 2019), available at: <https://www.silkroadbriefing.com/news/2019/05/27/belt-road-initiative-mexico-central-america/>. Accessed 17 September 2019.

¹⁵³ *Ibid.*

¹⁵⁴ Jesus Centeno, 'Mexico's Ebrard to harness China's 'enormous' Trade and Investment Potential', *Efe*, (2 July 2019), available at: <https://www.efe.com/efe/english/world/mexico-s-ebrard-to-harness-china-enormous-trade-and-investment-potential/50000262-4013970>. Accessed 17 September 2019.

¹⁵⁵ Antonio C. Hsiang, 'The Isthmus of Tehuantepec Rail Line is one that might interest China', *Mexico News Daily*, (5 July 2018), available at: <https://mexiconewsdaily.com/opinion/its-time-for-mexico-to-pivot-to-china/>. Accessed 17 September 2019.

¹⁵⁶ Centeno, 'Mexico's Ebrard'.

Affiliation may become more viable in future, especially if Mexico's US relationship declines. Positions on our strategic narrative buy-in framework can shift. At the point of analysis, it was difficult to identify countries that have little material incentive to affiliate with the BRI, even if they have minimal ontological security concerns. However, evidence is emerging from affiliates that the costs of BRI affiliation are greater than anticipated. The Maldives government, which had been a strong BRI affiliate, was recently voted out in favour of a new administration on an anti-BRI platform.¹⁵⁷ Both the Maldives and the Malaysian governments have sought to renegotiate contracts and debts with China, fearing ever-worsening debt traps.¹⁵⁸ How easy the bottom right of our framework will be to populate will change depending on how countries experience the material and ontological consequences of the BRI in the future and on local fluctuations in politics.

7. Discussion

Theoretical and Empirical Contribution

This article has sought to explain how one actor's strategic narrative is appropriated by another, and how this process relates to policy adoption choices. We have argued that two factors combine to make 'buy-in' to a policy initiative more likely: whether policymakers feel they can explain policy change in a way that promises material benefits and upholds their country's ontological security. If they can do both, they will be more likely to enact the policy.

We examined this proposition through original empirical research into states' responses to China's strategic narratives on the BRI in the updated context of the Second BRF in April 2019. First, we

¹⁵⁷ Miglani, Sanjeev and Mohamed Junayd, 'Exclusive: Maldives set to pull out of China Free Trade Deal, says Senior Lawmaker', *Reuters* (19 November 2018), available at: <https://uk.reuters.com/article/uk-maldives-politics-china-exclusive/exclusive-maldives-set-to-pull-out-of-china-free-trade-deal-says-senior-lawmaker-idUKKCN1NO10G>. Accessed 29 October 2019.

¹⁵⁸ Mundy, Simon and Kathrin Hille, 'The Maldives counts the Cost of its Debts to China', *Financial Times* (February 11 2019), available at: <https://www.ft.com/content/c8da1c8a-2a19-11e9-88a4-c32129756dd8>. Accessed 29 October 2019.

presented a strategic narrative buy-in framework, illustrating in a two-by-two grid how the combination of material incentives and ontological security concerns affect strategic narrative contestation and policy responses. We then illustrated this by examining 7 diverse states' strategic narratives regarding the BRI, and how the extent of contestation of China's strategic narrative aligned with policy choices.

Kazakhstan and Italy have affiliated officially with the BRI, albeit with more contestation in Italy. Both reiterated China's strategic narrative promises of 'connectivity' and 'partnership' and increased trade. Kazakhstan positioned itself as a modernising transit hub connecting Europe and Asia. Italy, meanwhile, drew on the Silk Road mythology and the country's historical centrality in the Mediterranean to make affiliation seem like a routine continuation of past behaviour, rather than a significant and controversial policy shift. This helped justify the policy change without undermining their ontological security. In this way, they used narrative plot strategically to make routine what was far from routine.

Britain and the Netherlands' responses were similar. Both identified positively with China's calls to improve connectivity and trade, seeing these as important elements of their contemporary and historical influence. But both rejected direct affiliation due to concerns over China's values and behaviour. Each sought to derive ontological security from being seen to help China with aspects of the BRI, but without feeling able to argue for full involvement due to China's approach to international rules and standards and human rights.

The US and India might benefit economically from the BRI but reject it because they cannot reconcile physical or ontological security concerns. The US perceives a threat to its leading position in international order. India shares similar concerns in the Indo-Pacific, and considers that the BRI routing through Pakistani-controlled Kashmir undermines their sovereignty and territorial integrity. Without

sufficient material incentives or their ontological security concerns being addressed, neither feel that they can argue in favour of affiliation, even if their economies are more closely intertwined with China's than their rhetoric suggests.

Finally, the hardest segment to populate were countries that see limited material benefit from the BRI, even though they accept it on ontological security grounds. We used Mexico to show how the BRI is less appealing for countries who possess alternative economic relationships to the BRI, even if affiliation does not undermine their ontological security.

Advancing Belt and Road Initiative Research

As well as demonstrating our theory's utility, the article contributes empirically by bringing up to date analysis of how countries are responding to the evolving BRI. Some countries have moved from hesitancy to a full embrace. Others persistently contest the BRI and see ulterior motives behind it. How strategic narratives and policies concerning the BRI shift over time would be a useful avenue for further research. Studies regarding the effect of the COVID-19 pandemic on state responses and policies on the BRI would be worthwhile.

Examining a broader range of cases would also be helpful. Our sample, while geographically dispersed, over-represents Western liberal democracies and wealthier countries. However, as the Italy case shows, liberal democracies' BRI responses are mixed. These nuances, along with perspectives from a greater range of countries in the Global South, would be useful to explore.

A further area requiring more research concerns sub-national variation in responses to China's BRI strategic narratives. Cases such as California, USA, and Victoria, Australia, show how domestic tensions can cause sub-national states to adopt different BRI affiliation from the country overall. California's Lieutenant Governor, Eleni Kounalakis, attended the Belt and Road CEO Conference in China to

promote action on climate change – something China’s BRI strategic narrative promotes.¹⁵⁹ Contrary to national apprehension of the BRI, Kounalakis presents California as a logical partner due to its commitment to reducing its environmental footprint. California’s BRI response reflects tensions with the Trump administration, against which it has been described as being ‘at war’.¹⁶⁰ In this way, responses to China’s strategic narrative reflect existing domestic tensions in US politics.

Similarly, Victoria, in Australia, has embraced aspects of the BRI independent of their national government, signing a Memorandum of Understanding on the BRI in October 2018.¹⁶¹ These examples illustrate the importance of moving beyond state-centric approaches to examining regional and sub-national responses to the BRI. These remind us that ontological security can be contested nationally, and strategic narrative analysis should reflect this.

Taking the Strategic Narrative Buy-in Framework forward

Beyond the BRI, it would be useful to examine our buy-in framework’s utility in a broader range of cases. Not all cases will provide as clear a material case as the BRI. In other cases, ontological security concerns might be the main driver of policy choice. Consider strategic narratives on irregular migration, for example. The material cost of welcoming large numbers of refugees may outweigh material benefits, but states justify doing so on ontological security grounds, as a behaviour that maintains their ontological security as states committed to human rights.

¹⁵⁹ Eleni Kounalakis, ‘One Belt One Road Subnational Forum’, *Californian Government Website* (25 April 2019), available at: <https://ltg.ca.gov/2019/04/24/one-belt-one-road/>. Accessed 6 June 2019.

¹⁶⁰ Connie Bruck, ‘Inside California’s War on Trump’, *The New Yorker* (26 March 2018), available at: <https://www.newyorker.com/magazine/2018/03/26/inside-californias-war-on-trump>. Accessed 4 September 2019.

¹⁶¹ Daniel Andrews, ‘More Jobs and Investment with New Victoria and China Deal’, media release, *Premier of Victoria website*, (25 October 2018), available at: <https://www.premier.vic.gov.au/more-jobs-and-investment-with-new-victoria-and-china-deal/>. Accessed 6 June 2019.

To conclude, we contend that our strategic narrative buy-in framework provides an important theoretical contribution to explaining what makes some strategic narratives more likely to persuade international actors to adopt new policies. States should formulate strategic narratives in a way that anticipates material gain but without undermining the ontological security of others. In particular, narrating the policy in a way that implies it is a continuation of historical behaviour appears to be a useful way of maintaining ontological security even if the policy represents a significant shift in routines.

If a recipient of a policy strategic narrative sees limited material benefit or ontological security concerns, they are more likely to pursue more moderate policy options. They will feel uncomfortable about advocating and ultimately adopting a policy that contradicts who they are and which breaks established routines, particularly if they deem the policy unethical. They will calculate that a policy that doesn't bring material benefit is not worthwhile. A strategic narrative that convinces target audiences that they will benefit materially while staying true to who they are, will achieve buy-in.